August 7, 2017 White Paper



The Printer	Working	Group
-------------	---------	-------

IPP Presets (PRESET)

Status: Interim

Abstract: This document is a whitepaper that describes IPP Presets, a mechanism that 4

5 enables a set of Jiob Ttemplate attribute values to be appliedset as a set, to provide IPP

print solutions with a way to support a variety of user experience optimizations. 6

7 This document is a White Paper. For a definition of a "White Paper", see: 8 http://ftp.pwg.org/pub/pwg/general/pwg-process30.pdf

9 This document is available electronically at:

10 https://ftp.pwg.org/pub/pwg/ipp/whitepaper/tb-ipp-preset-20170807.odt

https://ftp.pwg.org/pub/pwg/ipp/whitepaper/tb-ipp-preset-20170609.odt 11

https://ftp.pwg.org/pub/pwg/ipp/whitepaper/tb-ipp-preset-20170807.pdf 12

13 https://ftp.pwg.org/pub/pwg/ipp/whitepaper/tb-ipp-preset-20170609.pdf

Copyright © 2017 The Printer Working Group. All rights reserved. 14

15 Title: IPP Presets (PRESET)

The material contained herein is not a license, either expressed or implied, to any IPR 16 owned or controlled by any of the authors or developers of this material or the Printer 17 Working Group. The material contained herein is provided on an "AS IS" basis and to the 18 maximum extent permitted by applicable law, this material is provided AS IS AND WITH 19

3

1 2



The Printer Working Group

20 ALL FAULTS, and the authors and developers of this material and the Printer Working

Group and its members hereby disclaim all warranties and conditions, either expressed, implied or statutory, including, but not limited to, any (if any) implied warranties that the

use of the information herein will not infringe any rights or any implied warranties that the

24 merchantability or fitness for a particular purpose.

25	Table of Contents	
26	1 Introduction	4
27	2 Terminology	4
28	2.1 Protocol Roles Terminology	4
29	2.2 Printing Terminology	4
30	2.3 Other Terms Used in This Document	5
31	2.4 Acronyms and Organizations	5
32	3 Requirements for IPP Presets	
33	3.1 Rationale for IPP Presets	
34	3.2 Use Cases	6
35	3.2.1 Explicit Preset Selection	6
36	3.2.2 Implicit Preset Selection	7
37	3.2.3 Client Saving Preset Settings to Printer	7
38	3.3 Exceptions	7
39	3.4 Out of Scope	
40	3.5 Design Requirements	
41	4 Technical Solutions/Approaches	
42	4.1 job-presets-supported (1setOf collection)	
43	4.1.1 preset-key (keyword name(MAX))	
44	4.1.2 Examples	8
45	4.2 "job-triggers-supported" (1setOf collection)	
46	4.2.1 Examples	
47	5 Internationalization Considerations	
48	6 Security Considerations	
49	6.1 Human-readable Strings	10
50	7 References	
51	7.1 Normative References	
52	7.2 Informative References	
53	8 Authors' Addresses	
54	9 Change History	
55	9.1 August 7, 2017	
56	9.2 July 28, 2017	
57	9.3 June 9, 2017	
58	9.4 April 18, 2017	14

59

List of Figures

60

List of Tables

61 **1** Introduction

62 This whitepaper defines a system of new IPP attributes that allow a Printer to describe a 63 set of one or more "presets", which are a set of job template attributes and attribute values 64 that are applied together as a group. Each preset set has a named label and may also 65 have an associated "trigger", allowing the preset to be applied implicitly in response to the 66 <u>User making a particular settings some initial user</u> selection.

67 2 Terminology

68 **2.1 Protocol Roles Terminology**

69 This document defines the following protocol roles in order to specify unambiguous 70 conformance requirements:

- 71 *Client*: Initiator of outgoing IPP session requests and sender of outgoing IPP operation
- 72 requests (Hypertext Transfer Protocol -- HTTP/1.1 [RFC7230] User Agent).

Printer: Listener for incoming IPP session requests and receiver of incoming IPP operation
 requests (Hypertext Transfer Protocol -- HTTP/1.1 [RFC7230] Server) that represents one
 or more Physical Devices or a Logical Device.

76 **2.2 Printing Terminology**

- All the printing terminology defined in IPP/1.1 Model and Semantics [RFC8011] are
 applicable here:
- 79 *Client*: Initiator of outgoing IPP session requests and sender of outgoing IPP operation 80 requests (Hypertext Transfer Protocol (HTTP/1.1) user agent, as defined in [RFC7230]).
- 81 Document: An object created and managed by a Printer that contains description,
- processing, and status information. A Document object can have attached data and is bound to a single, leb [PW/C5100.5]
- 83 bound to a single Job [PWG5100.5].
- 84 *ipp' URI*: An IPP URI as defined in [RFC3510].
- 85 *ipps' URI*: An IPP URI as defined in [RFC7472].
- 86 Job: An object created and managed by a Printer that contains description, processing,
- 87 and status information. The Job also contains zero or more Document objects.
- 88 Logical Device: A print server, software service, or gateway that processes Jobs and

89 <u>either forwards or stores the processed Job or uses one or more Physical Devices to</u>

90 <u>render output.</u>

- 91 *Output Device*: A single Logical or Physical Device.
- *Physical Device*: A hardware implementation of an endpoint device, e.g., a marking 92
- engine, a fax modem, etc. 93
- Printer: Listener for incoming IPP session requests and receiver of incoming IPP 94
- 95 operation requests (HTTP/1.1 server, as defined in [RFC7230]) that represents one or more Physical Devices or a Logical Device. 96

2.3 Other Terms Used in This Document 97

98 User: A person or automata using a Client to communicate with a Printer.

2.4 Acronyms and Organizations 99

- 100 IANA: Internet Assigned Numbers Authority, http://www.iana.org/
- 101 IETF: Internet Engineering Task Force, http://www.ietf.org/
- 102 ISO: International Organization for Standardization, http://www.iso.org/
- *PWG*: Printer Working Group, <u>http://www.pwg.org/</u> 103

1043Requirements for IPP Presets

3.1 Rationale for IPP Presets

There are circumstances where a number of settings are chosen as a set to achieve some common printing objective or workflow scenario. For example, the act of selecting a 4"x6" media size implies the desire to print photos. If doing so could trigger the automatic selection of an associated group of settings (change media type to glossy photo, setting the print quality to 'best'), that could have a positive user experience benefit. Sometimes these groups of settings are referred to as "presets".

Most vendor / model-specific drivers and driver system implement support for such associations, but they do this by including logic in the driver itself. For driverless / omnidriver systems such as IPP Everywhere, some settings collections could be constructed on the Client system, but some could originate from the Printer. IPP needs to be extended to provide attributes to convey these from the Printer to a Client to support Printer-originated "presets", to support the use cases below.

There is currently no way for the Printer to supply explicit preset information to the Client. Preset information can be configured by admin, operator, or vendor. A crude facility could be provided using Validate-Job and the "job-preferred-attributes" in the response, but that requires additional Client / Printer operations that are undesirable. This should be manageable locally to the Client once the settings bundles have been provided to it by the Printer.

124 After the application of a preset, the Client ought to still allow a User to change individual

settings. If a preset set "print-quality" to 'high' (5) and "print-color-mode" to 'color', the User
 should still be capable of adjusting the control for "print-quality" to set its value to 'normal'

127 (<u>4).</u>

128 It is desirable that individual settings changed by the application of a preset are still able to
 129 be configurable by the User.

130 The PWG Semantic Model defined the concept of a "job ticket template". Saved job ticket
 131 resources are similar but not exactly the same. In particular they lack the notion of a

132 <u>"trigger".</u>

133 **3.2 Use Cases**

- 134 Provide use cases for the document in subsections using the casual use case format.
- 135 Explicit Preset Selection

Bert has found a good recipe for gazpacho on the Web, and wants to print the recipe to put it into his recipe binder. He clicks on the "Print" button in the web page. When the print dialog is presented, he selects the settings preset labeled "Recipe for binder" in his print
dialog, that selects "2 pages per sheet" and disables two-sided printing all at once. Bert
decides he wants to re-enable two-sided printing, and does so. As the preset is simply a
batch application of settings, he is still free to make individual settings choices after a
preset is applied. He prints the recipe, cuts it to size, and puts it into his recipe binder.

143 **3.2.1 Implicit Preset Selection**

Kelli is in the process of printing a photo. In the print dialog, she switches the selected media from A4 to 4"x6". The Printer has indicated that <u>selecting t</u>the 4"x6" media size is a trigger to select a preset including selectingssociated with a glossy photo media type, single-sided printing, and '<u>highbest</u>' print quality. The Client updates the print dialog and the job ticket automatically to include those changes. Kelli is pleased that these choices were made automatically by her system, saving her time and effort.

150 **3.2.2** Client Saving Preset Settings to Printer

151 Ernie has constructed his own IPP preset on his system named "Better Binder Recipe",

152 and he would like to share it with Bert. Ernie selects that preset from a list of locally

153 created presets and clicks on the "Upload Preset to Printer" button. The preset is uploaded

154 to the Printer. When Bert next goes to print, he sees the "Better Binder Recipe" preset that

155 Ernie added to the Printer, and uses that for his next recipe printing tasks.

3.3 Exceptions

157 There are no exceptions.

158 **3.4 Out of Scope**

- 159 The following are considered out of scope for this document:
- 160 **1.** User presentation of these options
- 161 2. Changes to the core IPP specifications

162 **3.5 Design Requirements**

- 163 The design requirements for this document are:
- 1641. Define new IPP attributes to specify a setgroupsof attributes and attribute165values that will be applied as a group when either a particular attribute value is166chosen.
- 1672. Support the specification of a "trigger" attribute value in the group, to support implicit group selection.
- 169
 170
 170
 171
 3. Support the specification of a "label" or "label key" in the group, to support explicit group selection via a name presented to the user, that might be localized.

172 4. Register all attributes and operations with IANA

173 4 Technical Solutions/Approaches

This specification defines the following: an IPP attribute that creates an association between a set of Job Template attribute names and values (a "preset"); define ancillary member attributes to uniquely identify each preset set and allow a Client to support explicit named selection of a set; and also define a mechanism that a Client can use to cause an implicit selection of a preset set.

179 **4.1** "job-presets-supported" (1setOf collection)

The "job-presets-supported" attribute provides a set of collections, where each collection 180 consists of a "preset-key (keyword | name(MAX))" attribute and the seta group of attribute 181 names and values, be applied as a setll at once by the Client when this . Each "preset is 182 183 selected by the User. The attribute names and values -key" MUST be supported by the 184 Printer and be listed in its Printer Description unique within a "job-presets-supported" attributes. The set of attribute values MUST NOT, so that a particular preset can be in 185 186 conflict with one another as describunambiguously referenced by a constraint in "job-187 constraints-supported".that "preset-key". A localized string label for "preset-key" suitable for 188 User presentation SHOULD be made available by the Printer. A Client can acquire the 189 label by using the value of "preset-key" as the lookup key in the strings catalog provided at 190 the URL specified by "printer-strings-uri" [PWG5100.13].

191 The attribute names and values MUST be supported by the Printer and be listed in its

192 Printer Description attributes. The set of attribute values MUST NOT be in conflict with one

- 193 another as described by a constraint in "job-constraints-supported".
- 194 A Printer MUST support the "job-presets-supported" attribute if it supports the "job-triggers-195 supported" attribute.
- 196 4.1.1 preset-key (keyword | name(MAX))

197 The "preset-key" member attribute provides each collection in "job-presets-supported" with
 a unique string identifier. Each "preset-key" MUST be unique within a "job-presets supported" attribute, so that each preset collection is uniquely identifiable and can be

- 200 unambiguously referenced using that "preset-key" value.
- A localized string label for "preset-key" suitable for User presentation SHOULD be made
 available by the Printer. A Client can acquire the localized string label by using the value of
 "preset-key" as the lookup key in the strings catalog provided at the URL specified by
 "printer-strings-uri" [PWG5100.13]. As a fallback, the "preset-key" value may be presented
 directly; for this reason, the "preset-key" value SHOULD be descriptive.

206 **4.1.2 Examples**

207 <u>Here is an example "job-presets-supported" attribute, which includes 2 collections,</u> 208 <u>described using PAPI:</u>

209	<u>job-presets-supported={</u>
210	preset-key="draft"
211	<u>print-quality=3</u>
212	<u>},{</u>
213	preset-key="photo"
214	<pre>print-content-optimize='graphics'</pre>
215	print-quality=5
216	3

4.2 "job-triggers-supported" (1setOf collection)

The "job-triggers-supported" attribute provides a set of collections, where each collection contains a "preset-key (keyword | name(MAX))" member attribute (section 4.1.1), along with a singlen attribute name and set of values. The lient, upon detecting that that attribute has acquired that particular value, will applymay respond by selecting the settings in the preset in "job-presets-supported" that has the matching "preset-key" value.

A Printer MAY support the "job-triggers-supported" attribute if it supports the "job-presetssupported" attribute.

225 **4.2.1** Examples

Here is an example "job-triggers-supported" attribute, which includes 2 collections,
 described using PAPI:

228	<u>job-triggers-supported={</u>
229	preset-key="draft"
230	<pre>media-col={media-type='stationery-recycled'}</pre>
231	},{
232	preset-key="photo"
233	<pre>media-col={media-type='photographic', 'photographic-</pre>
234	<pre>glossy', 'photographic-matte'}</pre>
235	Ĵ i i i i i

236 In this example, if the user selects the 'stationery-recycled' media type, that will trigger the
 237 selection of the "draft" preset from "job-presets-supported".

5 Internationalization Considerations

For interoperability and basic support for multiple languages, <u>conforming i</u>mplementations
 <u>MUST supportuse</u> the Universal Character Set (UCS) Transformation Format -- 8 bit (UTF-

8) [RFC3629][RFC3629] encoding of Unicode [UNICODE] [ISO10646] and the Unicode Format for Network Interchange [RFC5198]._

243 <u>Implementations of this specification SHOULD conform to the following standards on</u> 244 processing of human-readable Unicode text strings, see:

- 245 <u>Unicode Bidirectional Algorithm [UAX9] left-to-right, right-to-left, and vertical</u>
- Unicode Line Breaking Algorithm [UAX14] character classes and wrapping
- 247 Unicode Normalization Forms [UAX15] especially NFC for [RFC5198]
- 248 <u>Unicode Text Segmentation [UAX29] grapheme clusters, words, sentences</u>
- <u>Unicode Identifier and Pattern Syntax [UAX31] identifier use and normalization</u>
- 250 <u>Unicode Collation Algorithm [UTS10] sorting</u>
- 251 Unicode Locale Data Markup Language [UTS35] locale databases
- 252 Implementations of this specification are advised to also review the following informational
 253 documents on processing of human-readable Unicode text strings:
- 254 <u>Unicode Character Encoding Model [UTR17] multi-layer character model</u>
- Unicode in XML and other Markup Languages [UTR20] XML usage
- 256 <u>Unicode Character Property Model [UTR23] character properties</u>
- 257 <u>Unicode Conformance Model [UTR33] Unicode conformance basis</u>

6 Security Considerations

259 The IPP extensions defined in this document require the same security considerations as

- 260defined in the IPP/1.1: Model and Semantics [RFC8011] plus additional security261considerations below .
- There are no security considerations specific to this system other than those already
 defined in IPP/1.1 [RFC8011] and IPP/2.0[PWG5100.12].
- 264 Human-readable Strings
- 265 Implementations of this specification SHOULD conform to the following standard on
 266 processing of human-readable Unicode text strings, see:
- <u>Unicode Security Mechanisms [UTS39] detecting and avoiding security attacks</u>

268	Implementations of this specification are advised to also review the following informational
269	document on processing of human-readable Unicode text strings:

270 Unicode Security FAQ [UNISECFAQ] – common Unicode security issues

271 **7 References**

272 **7.1** Normative References

273 274	[ISO10646]	"Information technology Universal Coded Character Set (UCS)", ISO/IEC 10646:2011
275 276 277 278	[PWG5100.5]	D. Carney, T. Hastings, P. Zehler. "Internet Printing Protocol (IPP): Document Object", PWG 5100.5-2003, October 2003, http://ftp.pwg.org/pub/pwg/candidates/cs-ippdocobject10-20031031- 5100.5.pdf
279 280 281	[PWG5100.12]	R. Bergman, H. Lewis, I. McDonald, M. Sweet, "IPP/2.0 Second- Edition", PWG 5100.12-2011, February 2011, http://ftp.pwg.org/pub/pwg/candidates/cs-ipp20-20110214-5100.12.pdf
282 283 284	[PWG5100.12]	R. Bergman, H. Lewis, I. McDonald, M. Sweet, "IPP Version 2.0, 2.1, and 2.2", PWG 5100.12-2015, October 2015, http://ftp.pwg.org/pub/pwg/standards/std-ipp20-20151030-5100.12.pdf
285 286 287 288	[PWG5100.13]	M. Sweet, I. McDonald, P. Zehler, "IPP: Job and Printer Extensions - Set 3 (JPS3)", PWG 5100.13-2012, July 2012, http://ftp.pwg.org/pub/pwg/candidates/cs-ippjobprinterext3v10- 20120727-5100.13.pdf
289 290 291	[PWG5100.19]	S. Kennedy, "IPP Implementor's Guide v2.0", PWG 5100.19-2015, August 2015, <u>http://ftp.pwg.org/pub/pwg/candidates/cs-ippig20-</u> 20150821-5100.19.pdf
292 293	[RFC2817]	R. Khare, S. Lawrence, "Upgrading to TLS Within HTTP/1.1", RFC 2817, May 2000, https://www.ietf.org/rfc/rfc2817.txt
294 295	[RFC3510]	R. Herriot, I. McDonald, "Internet Printing Protocol/1.1: IPP URL Scheme", RFC 3510, April 2003, https://tools.ietf.org/html/rfc3510
296 297	[RFC3629]	F. Yergeau, "UTF-8, a transformation format of ISO 10646", RFC 3629, November 2003, <u>https://www.ietf.org/rfc/rfc3629.txt</u>
298 299	[RFC5198]	J. Klensin, M. Padlipsky, "Unicode Format for Network Interchange", RFC 5198, March 2008, <u>https://www.ietf.org/rfc/rfc5198.txt</u>

300 301 302	[RFC7230]	R. Fielding, J. Reschke, "Hypertext Transfer Protocol (HTTP/1.1): Message Syntax and Routing", RFC 7230, June 2014, _ http://www.ietf.org/rfc/rfc7230.txt
303 304 305	[RFC7472]	I. McDonald, M. Sweet, "Internet Printing Protocol (IPP) over HTTPS Transport Binding and the 'ipps' URI Scheme", RFC 7472, March 2015, https://tools.ietf.org/html/rfc7472
306 307 308	[RFC8010]	M. Sweet, I. McDonald, "Internet Printing Protocol/1.1: Encoding and Transport", RFC 8010, January 2017, https://www.ietf.org/rfc/rfc8010.txt
309 310 311	[RFC8010]	M. Sweet, I. McDonald, "Internet Printing Protocol/1.1: Encoding and Transport", RFC 8010, January 2017, https://www.ietf.org/rfc/rfc8010.txt
312 313 314	[RFC8011]	M. Sweet, I. McDonald, "Internet Printing Protocol/1.1: Model and Semantics", RFC 8011, January 2017, _ <u>https://www.ietf.org/rfc/rfc8011.txt</u>
315 316	[UAX9]	Unicode Consortium, "Unicode Bidirectional Algorithm", UAX#9, May 2016, http://www.unicode.org/reports/tr9
317 318 319	[UNICODE]	The Unicode Consortium, "The Unicode Standard, Version 6.2.0", ISBN 978-1-936213-07-8, September 2012, http://www.unicode.org/versions/Unicode6.2.0/
320 321	[UAX14]	Unicode Consortium, "Unicode Line Breaking Algorithm", UAX#14, June 2016, http://www.unicode.org/reports/tr14_
322 323	[UAX15]	Unicode Consortium, "Normalization Forms", UAX#15, February 2016, http://www.unicode.org/reports/tr15
324 325	[UAX29]	Unicode Consortium, "Unicode Text Segmentation", UAX#29, June 2016, http://www.unicode.org/reports/tr29
326 327	[UAX31]	Unicode Consortium, "Unicode Identifier and Pattern Syntax", UAX#31, May 2016, http://www.unicode.org/reports/tr31_
328 329	[UNICODE]	The Unicode Consortium, "Unicode® 10.0.0", June 2017, http://unicode.org/versions/Unicode10.0.0/_
330 331	[UTS10]	Unicode Consortium, "Unicode Collation Algorithm", UTS#10, May 2016, http://www.unicode.org/reports/tr10
332 333	[UTS35]	Unicode Consortium, "Unicode Locale Data Markup Language", UTS#35, October 2016, http://www.unicode.org/reports/tr35

334	[UTS39]	Unicode Consortium, "Unicode Security Mechanisms", UTS#39, June	
335		2016, http://www.unicode.org/reports/tr39	

336 **7.2** Informative References

337 338	[UNISECFAQ]	Unicode Consortium "Unicode Security FAQ", November2016, http://www.unicode.org/faq/security.html
339 340	[UTR17]	Unicode Consortium "Unicode Character Encoding Model", UTR#17, November 2008, http://www.unicode.org/reports/tr17
341 342	[UTR20]	Unicode Consortium "Unicode in XML and other Markup Languages", UTR#20, January 2013, http://www.unicode.org/reports/tr20
343 344	[UTR23]	Unicode Consortium "Unicode Character Property Model", UTR#23, May 2015, http://www.unicode.org/reports/tr23
345 346	[UTR33]	Unicode Consortium "Unicode Conformance Model", UTR#33, November 2008, http://www.unicode.org/reports/tr33

347 **8 Authors' Addresses**

- 348 Primary authors (using Address style):
- 349 Smith Kennedy
- 350 11311 Chinden Blvd.
- 351 Boise, Idaho 83714
- 352 smith.kennedy@hp.com
- The authors would also like to thank the following individuals for their contributions to this standard:
- 355 Ira McDonald High North
- 356 Mike Sweet Apple Inc.

357 9 Change History

- 358 **9.1** August 7, 2017
- 359 Minor clarifications and editorial changes to section 3.

360 **9.2** July 28, 2017

- 361 Updated following IPP WG review and feedback:
- 362 Added Printing Terminology by copy / paste from RFC 8011 section 2.2
- Incorporated Internationalization and Security Considerations content from IPP
 System
- 365 Added and fixed many references
- Refactored section 4 according to the meeting minutes to include PAPI examples to better illustrate the structure, which is difficult to articulate using conventional IPP syntax (since there isn't a formal "data type" for "any attribute"
- 369 Other additions and changes:
- Added a new use case "Client Saving Preset Settings to Printer" to explore how that might be supported in IPP, and if that requires additional definitions.

372 **9.3** June 9, 2017

- 373 Updated and refactored following May 11 IPP WG teleconference
- Expanded use case descriptions
- Refactored IPP attribute definitions
- **376 9.4 April 18, 2017**
- 377 Initial revision.