| 1  |                                |
|----|--------------------------------|
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| 11 |                                |
| 12 |                                |

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## 51 **1 General**

#### 52 **1.1 Common restrictions for test purposes**

| 53<br>54       |   | facilitate testing and analysis of results we should conduct our tests under certain restrictions. If e permits, specific restrictions may be lifted for specific tests.   |
|----------------|---|--|
| 55<br>56<br>57 | • | Security. Authentication, authorization and privacy will not be used except where explicitly stated. There will be a series of test specifically targeting interoperability of security implementations. The core protocol testing will eliminate these variables. |
| 58<br>59       | • | Character set. We will use the UTF-8 character encoding of ISO646. This will simplify the comparison of results. The exception will be tests specifically targeted at characters sets.   |
| 60<br>61       | • | Language. We will use en-us. This will simplify the comparison of results. Once again the exception will be tests that are intended to test this aspect of the protocol.   |
| 62             | • | IPP Scheme. The URLs for printers will be $ipp://*$ as specified in the IPP 1.1 specification.   |
| 63<br>64       | • | Http Chunking. No IPP component being tested will chunk its requests or responses. Testing of chunking IPP requests and responses will specifically be performed.  |
| 65<br>66       | • | HTTP Version 1.1 will be used. Compatibility tests with 1.1 and 1.0 components will be performed.  |
| 67<br>68       | • | Firewalls and proxies These will be used throughout the tests. The firewalls and proxies may be bypassed if they interfere with a test not targeted at firewalls and proxies.  |
| 69             |   |  |

#### 70 1.2 Test print files

Where possible a small standard file will be used. A large test file will be used for IPP contention
 testing and real life printing scenario testing

#### 73 **1.3 Result processing and documentation**

#### 74 **1.3.1** Anonymity

The participants of the tests will be aware of the identity of the participants. Any posting or
discussion of results will not identify the participants demonstrating the issue at hand. The
discussions will focus on issues regarding the IPP specification. The objective is to clarify the
specification's language to resolve the issue.

#### 79 1.3.2 Test data collection method

- 80 Developers of IPP test tools should operate at the IPP application layer. This will simplify the 81 comparison of results. The format of the traces will be test tool specific. It is recommended that 82 there be an indication of success or failure. The tool should also report expected and received 83 results.
- 84 Network analyzers are suggested to keep a detailed trace of various tests. All participants are 85 encouraged to bring their favorite.

#### 86 **1.3.3 Results determination**

- 87 Humans can determine the results for many of the tests. An IPP Client submitting a job that is 88 printed by an IPP printer would seem to indicate interoperability.
- Some clients or tools will allow us to examine the attribute groups, tags, attributes and values.
  The examination of these may be automated to some extent.
- 91 If all else fails the network analyzers will allow us to examine the bits on the wire.
- 92

93 1.3.4 Output

#### 94 1.3.4.1 Detailed results

95 The detailed results of the bake-off will be emailed directly to the participants of the test. Some
96 of the detailed results will be made available during the bake-off. All participants are
97 encouraged to make their traces available to interested participants. Implementers of IPP
98 components involved in a test are entitled to the results of the test.

#### 99 1.3.4.2 Summary of results

100A summary of the test results will be circulated among the participants prior to posting on the101IPP distribution list. The results will be sanitized of any vendor specific information. The102object of the summary results is to give a high level overview of the bake-off results.

#### 103 **1.3.4.3 Issues**

104Issues will be recorded and tracked by the TES whip or designate. The issue will be sent to the105IPP Working Group for disposition.

#### 106 2 Procedure

- After setting up and establishing basic IP connectivity, every IPP component will be assigned a
   designator. The relevant information for each IPP component will be recorded in the table similar to
   the one in section 3.1.
- 110 Throughout the tests the term "interoperate" means that two independent IPP implementations 111 correctly understands the feature.

#### 112 2.1 IPP connectivity and basic printing

- Each IPP Client will send a simple print job to every IPP Printer. The results will be recorded in a
  table similar to the one in section 3.2. This step should be performed during the initial set up day.
  Any holes in the table can be filled in the following days.
- 116

#### 117 **2.2** *IPP model coverage testing*

Each IPP Printer will have an automated test suite run against it. The test suite will test only the support of the operations and attributes. This test is not intended to evaluate the robustness of an implementation. The operations and attributes that interoperate will be recorded anonymously on a

| 121<br>122<br>123<br>124<br>125<br>126<br>127<br>128<br>129<br>130<br>131 | form and collected. Another test tool and printer(s) will be selected to verify interoperability<br>coverage of the IPP Model.<br>The model coverage is broken up into the following sections:<br>Mandatory operations<br>Optional operations<br>Mandatory printer attributes<br>Optional printer attributes<br>Mandatory Job attributes<br>Optional Job attribute |
|---|--|
| 132   | 2.3 Common Error Conditions  |
| 133<br>134<br>135<br>136<br>137   | The objective of this step is to compare the state of printers under common error conditions. The get-<br>printer-attributes operation will be used to obtain the printer-state and printer-state-reasons attributes.<br>Printers that support event notifications should also record the notifications and their content generated by<br>the following events.    |
| 138   | Out of paper/Paper low   |
| 139   | <ul> <li>Submit a job of a known size to a printer with the input tray(s) empty.</li> </ul>  |
| 140   | <ul> <li>Examine and record the "printer-state" and "printer-state-reasons".</li> </ul>  |
| 140   | <ul> <li>Put some paper into the input tray. There should not be enough to complete the job.</li> </ul>  |
| 142   | <ul> <li>While the printer is printing, examine and record the "printer-state" and "printer-state-reasons".</li> </ul>   |
| 143   | <ul> <li>When the printer runs out of paper, examine and record the two attributes again.</li> </ul>   |
|   | • when the printer runs out of paper, examine and record the two attributes again.   |
| 144   | Out of montron gunnly/Montron gunnly low   |
| 145   | Out of marker supply/Marker supply low   |
| 146   | • Put a marker supply cartridge (spool, reservoir etc.) that is almost empty into the printer.   |
| 147   | • Submit a small job to the printer.   |
| 148   | • Examine and record the "printer-state" and "printer-state-reasons".  |
| 149   | • Cause the printer to run out of marker supply.   |
| 150   | • Examine the two attributes again.  |
| 151   |  |
| 152   | Output bin full/Output bin almost full   |
| 153   | • Almost fill an output bin.   |
| 154   | • Submit a job of sufficient in size to fill the printer's output bin.   |
| 155   | • While the printer is printing, examine and record the "printer-state" and "printer-state-reasons".   |
| 156   | • When the printer' output bin is full of paper, examine the two attributes again.   |
| 157   | • When the printer output oin is full of puper, examine the two attributes again.  |
| 158   | Cover open/Door open   |
| 159   | Open the appropriate covers and doors.   |
| 160   | <ul> <li>Examine and record the "printer-state" and "printer-state-reasons".</li> </ul>  |
| 161   | • Examine and record the printer-state and printer-state-reasons.  |
| 162   | Troy missing   |
| 162   | Tray missing   |
|   | • Remove an input tray.  |
| 164   | • Examine and record the "printer-state" and "printer-state-reasons".  |
| 165   | Densing a printer  |
| 166   | Pausing a printer  |
| 167   | • If possible, pause the printer.  |
| 168   | • Examine and record the "printer-state" and "printer-state-reasons".  |
| 169   |  |
| 170   | Paper jam  |
| 171   | • If possible, cause the printer to jam.   |
| 172   | • Examine and record the "printer-state" and "printer-state-reasons".  |

#### 174 **2.4 Version Interoperability**

Use Clients and Printers of differing versions. Submit a simple print job, get a list of jobs and get the
attributes of the job and the printer. Record results in table similar to the one in section 3.4

### 178 **2.5** Notification Registration and Delivery Methods

Notification registration will be tested at the Printer and Job level. The registration will contain the
mandatory attributes. The registration will be carried in the "Create-Printer-Subscription" operation
and in the subscription attribute template group in the job creation operations. If time permits, the
optional attributes and the "Create-Job-Subscription" operation will be tested.
Upon registration, the "Get-Subscriptions" operation will be used to verify success. The "GetSubscriptions-Attributes" may also be tested at this time. The notification method used will be
based on printer and client capabilities.

- The events to be tested are "printer-state-changed", "printer-stopped", "job-state-change", "jobcreated" and "job-completed". Other events will be tested based on printer capabilities and time.
  Results will be captured in a table similar to the one in section 3.7.
- 189

#### 190 **2.6** *Firewall and Proxy Interactions*

| leed t | o fill out this sec | tion.                  |                   |  |
|--------|---------------------|------------------------|-------------------|--|
|        | Test inbound a      | nd outbound printin    | g.                |  |
|        | Test inbound a      | nd outbound notific    | ation.            |  |
|        | Is there any fir    | ewall specific test th | at should be run? |  |
|        | Filter              | ng at different layer  | s                 |  |
|        | Conte               | nt filtering           |                   |  |
|        | Policy              | based filtering        |                   |  |
|        | Virtu               | l private Networks     |                   |  |
|        |                     | nd printing through    |                   |  |

#### 204 2.7 Authentication and Security

205Authentication and security will be tested for interoperability. The IPP printers and client206participating will record the results in tables similar to the table in section 3.8 Authentication and207security schemes include Basic, Digest, SSL3, TLS and Kerboros208

# **3** Sample Tables and Checklists

# 211 3.1 IPP Components matrix

212

| ID  | URL | Ethernet Address | Chunking<br>(Send,Receive) | Security<br>Schemes | Authentication<br>Schemes | Notification<br>Schemes |
|-----|-----|------------------|----------------------------|---------------------|---------------------------|-------------------------|
|     |     |                  | Clients                    |                     |                           | -                       |
| C1  |     |                  |                            |                     |                           |                         |
| C2  |     |                  |                            |                     |                           |                         |
| C3  |     |                  |                            |                     |                           |                         |
|     |     |                  | Printers                   |                     |                           |                         |
| P1  |     |                  |                            |                     |                           |                         |
| P2  |     |                  |                            |                     |                           |                         |
| P3  |     |                  |                            |                     |                           |                         |
| •   |     | ·                | Test Suites                | •                   | •                         |                         |
| TS1 |     |                  |                            |                     |                           |                         |
| TS2 |     |                  |                            |                     |                           |                         |
| TS3 |     |                  |                            |                     |                           |                         |

## 213 **3.2** Simple Print Table

214

| 214        |           |  |  | <br> |  |
|------------|-----------|--|--|------|--|
|            | Client ID |  |  |      |  |
| Printer ID |           |  |  |      |  |
|            |           |  |  |      |  |
|            |           |  |  |      |  |
|            |           |  |  |      |  |
|            |           |  |  |      |  |
|            |           |  |  | <br> |  |
|            |           |  |  |      |  |
|            |           |  |  |      |  |

# 217 3.3 IPP Operation/Attribute Coverage Tables

### 218 3.3.1 Mandatory Operations

| Mandatory Operations   |              |  |  |  |
|------------------------|--------------|--|--|--|
| Operation              | Interoperate |  |  |  |
| print-job              |              |  |  |  |
| validate-job           |              |  |  |  |
| get-printer-attributes |              |  |  |  |
| get-jobs               |              |  |  |  |
| cancel-job             |              |  |  |  |
| get-job-attributes     |              |  |  |  |

### 219 3.3.2 Optional Operations

| <b>Optional Operations</b> |              |  |  |  |
|----------------------------|--------------|--|--|--|
| Operation                  | Interoperate |  |  |  |
| print-uri                  |              |  |  |  |
| create-job                 |              |  |  |  |
| pause-printer              |              |  |  |  |
| resume-printer             |              |  |  |  |
| purge-printer              |              |  |  |  |
| send-document              |              |  |  |  |
| send-uri                   |              |  |  |  |
| hold-job                   |              |  |  |  |
| release-job                |              |  |  |  |
| restart-job                |              |  |  |  |

### 220 3.3.3 Operational attributes

| Operational attributes      |                       |              |  |  |
|-----------------------------|-----------------------|--------------|--|--|
| Operational Attribute       | Group                 | Interoperate |  |  |
|                             | preamble              |              |  |  |
| version-number              |                       |              |  |  |
| operation-id                | preamble              |              |  |  |
| request-id                  | preamble              |              |  |  |
| attributes-charset          | operational-attribute |              |  |  |
| attributes-natural-language | operational-attribute |              |  |  |
| printer-uri                 | operational-attribute |              |  |  |
| requesting-user-name        | operational-attribute |              |  |  |
| status code                 | preamble              |              |  |  |
| Status-message (Optional)   | operational-attribute |              |  |  |

### 221 3.3.4 Mandatory Printer Attributes

| Mandatory Printer Attributes |              |  |  |
|------------------------------|--------------|--|--|
| Attribute                    | Interoperate |  |  |
| printer-uri-supported        |              |  |  |
| uri-security-supported       |              |  |  |
| printer-name                 |              |  |  |
| printer-state                |              |  |  |
| operations-supported         |              |  |  |
| charset-configured           |              |  |  |
| charset-supported            |              |  |  |

| Mandatory Printer Attributes         |              |  |  |
|--------------------------------------|--------------|--|--|
| Attribute                            | Interoperate |  |  |
| natural-language-configured          |              |  |  |
| generated-natural-language-supported |              |  |  |
| printer-is-accepting-jobs            |              |  |  |
| pdl-override-supported               |              |  |  |
| document-format-default              |              |  |  |
| document-format-supported            |              |  |  |
| printer-up-time                      |              |  |  |

# 222 3.3.5 Optional Printer Attributes

| <b>Optional Printer Attributes</b>   |              |
|--------------------------------------|--------------|
| Attribute                            | Interoperate |
| printer-location                     |              |
| printer-info                         |              |
| printer-more-info                    |              |
| printer-driver-installer             |              |
| printer-make-and-model               |              |
| printer-more-info-manufacturer       |              |
| printer-state-reasons                |              |
| printer-state-message                |              |
| queued-job-count                     |              |
| printer-message-from-operator        |              |
| color-supported                      |              |
| reference-uri-schemes-supported      |              |
| printer-current-time                 |              |
| multiple-operation-time-out          |              |
| compression-supported                |              |
| job-k-octets-supported               |              |
| job-impressions-supported            |              |
| job-media-sheets-supported           |              |
| job-priority-default                 |              |
| job-priority-supported               |              |
| job-hold-until-default               |              |
| job-hold-until-supported             |              |
| job-sheets-default                   |              |
| job-sheets-supported                 |              |
| multiple-document-handling-default   |              |
| multiple-document-handling-supported |              |
| copies-default                       |              |
| copies-supported                     |              |
| finishings-default                   |              |
| finishings-supported                 |              |
| page-ranges-supported                |              |
| sides-default                        |              |
| sides-supported                      |              |
| number-up-default                    |              |
| number-up-supported                  |              |
| orientation-requested-default        |              |
| orientation-requested-supported      |              |
| media-default                        |              |
| media-supported                      |              |
| media-ready                          |              |

| Optional Printer Attributes  |              |  |  |
|------------------------------|--------------|--|--|
| Attribute                    | Interoperate |  |  |
| printer-resolution-default   |              |  |  |
| printer-resolution-supported |              |  |  |
| print-quality-default        |              |  |  |
| print-quality-supported      |              |  |  |

# 224 3.3.6 Mandatory job Attributes

| Mandatory job Attributes    |              |  |  |  |
|-----------------------------|--------------|--|--|--|
| Attribute                   | Interoperate |  |  |  |
| Job-uri                     |              |  |  |  |
| Job-id                      |              |  |  |  |
| Job-printer-uri             |              |  |  |  |
| Job-name                    |              |  |  |  |
| Job-originating-user-name   |              |  |  |  |
| Job-state                   |              |  |  |  |
| job-state-reasons           |              |  |  |  |
| Time-at-creation            |              |  |  |  |
| Time-at-processing          |              |  |  |  |
| Time-at-completed           |              |  |  |  |
| Attributes-charset          |              |  |  |  |
| Attributes-natural-language |              |  |  |  |

225

# 226 3.3.7 Optional Job Attributes

| Optional Job Attributes     |              |
|-----------------------------|--------------|
| Attribute                   | Interoperate |
| Job-more-info               |              |
| Job-state-message           |              |
| Job-detailed-status-message |              |
| Job-document-access-error   |              |
| Number-of-documents         |              |
| Output-device-assigned      |              |
| Date-time-at-creation       |              |
| Date-time-at-processing     |              |
| Date-time-at-completed      |              |
| Number-of-intervening-jobs  |              |
| Job-message-from-operator   |              |
| Job-k-octets                |              |
| job-impressions             |              |
| job-media-sheets            |              |
| compression-supported       |              |
| Job-k-octets-processed      |              |
| job-impressions-completed   |              |
| job-media-sheets-completed  |              |
| job-priority                |              |
| job-hold-until              |              |
| job-sheets                  |              |
| multiple-document-handling  |              |
| copies                      |              |
| finishings                  |              |

| Optional Job Attributes |              |  |  |
|-------------------------|--------------|--|--|
| Attribute               | Interoperate |  |  |
| page-ranges             |              |  |  |
| sides                   |              |  |  |
| number-up               |              |  |  |
| orientation-requested   |              |  |  |
| media                   |              |  |  |
| printer-resolution      |              |  |  |
| print-quality           |              |  |  |

# 228 **3.4** Version interoperability Table

| Operation              | 1.1 Printer 1.0<br>Client | 1.0 Printer 1.1<br>Client |
|------------------------|---------------------------|---------------------------|
| Print-Job              |                           |                           |
| Get-Printer-Attributes |                           |                           |
| Get-Job-Attributes     |                           |                           |
| Get-Jobs               |                           |                           |

## 229 **3.5** Notification Table

| Printer/<br>Client | Registration<br>Operation | Notification<br>Method | Events Registered | Registration<br>Result | Events & Results |
|--------------------|---------------------------|------------------------|-------------------|------------------------|------------------|
|                    |                           |                        |                   |                        |                  |
|                    |                           |                        |                   |                        |                  |

230

# 231 **3.6 Common Error Conditions Table**

| Condition          | Printer-state | Printer-state-<br>reasons | Notification/rel<br>evant content |
|--------------------|---------------|---------------------------|-----------------------------------|
| Paper low          |               |                           |                                   |
| Paper out          |               |                           |                                   |
| Marker supply low  |               |                           |                                   |
| Marker supply out  |               |                           |                                   |
| Output almost full |               |                           |                                   |

| Condition     | Printer-state | Printer-state-<br>reasons | Notification/rel<br>evant content |
|---------------|---------------|---------------------------|-----------------------------------|
| Output full   |               |                           |                                   |
| Cover open    |               |                           |                                   |
| Tray missing  |               |                           |                                   |
| Pause printer |               |                           |                                   |
| Paper jam     |               |                           |                                   |

## 233 **3.7** Notification Table

|             | Printer ID &<br>method   |  |  |
|-------------|--------------------------|--|--|
| Client ID & | Registration<br>Delivery |  |  |
| method      | Delivery                 |  |  |
|             |                          |  |  |
|             |                          |  |  |
|             |                          |  |  |
|             |                          |  |  |
|             |                          |  |  |
|             |                          |  |  |

234

# 235 **3.8 Authentication & Security Table**

| Security,<br>Authentication, | Client ID |  |  |
|------------------------------|-----------|--|--|
| Authentication,              |           |  |  |
| (Firewall/Proxy)             |           |  |  |
| Printer ID                   |           |  |  |
|                              |           |  |  |
|                              |           |  |  |
|                              |           |  |  |

236

### 237 3.9 Firewall Table

|             | Inbound Print | <b>Outbound Notify</b> | <b>Outbound Print</b> | Inbound Notify |
|-------------|---------------|------------------------|-----------------------|----------------|
| Printer ID, |               |                        |                       |                |
| Client ID   |               |                        |                       |                |
|             |               |                        |                       |                |
|             |               |                        |                       |                |
|             |               |                        |                       |                |

# 238 **4 Issues**

| Issue # | Originator | Description |  |
|---------|------------|-------------|--|
|         |            |             |  |
|         |            |             |  |

# 239 **5 Revision History**

| Version | Date    | Name   | Change   |
|---------|---------|--------|--|
| 0.1     | 8/14/00 | Zehler | Initial version for comment                                |
| 0.2     | 8/22/00 | Zehler | Added model coverage test, procedure and associated tables |
| 0.2     | 8/28/00 | Zehler | Added common error conditions                              |
| 0.2     | 8/30/00 | Zehler |  |