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8			
9			
10		Internet Printing Protocol (IPP):	
11	The 'ind	p' Delivery Method for Event Notifications and P	rotocol/1 0
12	The mu	p Denvery interior for Event rouncations and r	1010001/1.0
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24	Abstract		
25	This document describes	an extension to the Internet Printing Protocol/1.0 (IF	PP) [RFC2566, RFC2565]
26		RFC2910]. This document specifies the 'indp' Delive	
27		the "IPP Event Notifications and Subscriptions" spe	
28		ipp-ntfy] is supported, the Delivery Method defined in	
29		Delivery Methods for Printers to support.	
30	•	a simple protocol consisting of a single operation: th	
31		same encoding and transport as IPP [RFC2565, RFC	
32		occurs, the Printer immediately sends (pushes) an Ev	
33		tion to the Notification Recipient specified in the Sub	
34		nt consists of Machine Consumable attributes and a H	
35	"notify-text" attribute. T	The Notification Recipient returns a response to the Pa	rinter.
36	Parra, Hastings	Expires: January 17, 2002	[page 1]

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98

99 **1 Introduction**

100 The "IPP Event Notifications and Subscriptions" document [ipp-ntfy] defines an OPTIONAL extension 101 to Internet Printing Protocol/1.0 (IPP) [RFC2566, RFC2565] and IPP/1.1 [RFC2911, RFC2910] (for a 102 description of the base IPP documents, see section 18). That extension defines operations that a client 103 can perform in order to create Subscription Objects in a Printer and carry out other operations on them. 104 A Subscription Object represents a Subscription abstraction. A client associates Subscription Objects 105 with a particular Job by performing the Create-Job-Subscriptions operation or by submitting a Job with 106 subscription information. A client associates Subscription Objects with the Printer by performing a 107 Create-Printer-Subscriptions operation. Four other operations are defined for Subscription Objects: 108 Get-Subscriptions-Attributes, Get-Subscriptions, Renew-Subscription, and Cancel-Subscription. The 109 Subscription Object specifies that when one of the specified Events occurs, the Printer sends an 110 asynchronous Event Notification to the specified Notification Recipient via the specified Delivery Method (i.e., protocol). 111

112The "IPP Event Notifications and Subscriptions" document [ipp-ntfy] specifies that each Delivery113Method is defined in another document. This document is one such document, and it specifies the114'indp' Delivery Method. When IPP Notification [ipp-ntfy] is supported, the Delivery Method defined in115this document is one of the RECOMMENDED Delivery Methods for Printers to support. This116Delivery Method is a simple protocol consisting of a single operation: the Send-Notifications operation117which uses the same encoding and transport as IPP. This document defines version '1.0' of the118protocol.

For the 'indp' Delivery Method, an IPP Printer sends (pushes) a Send-Notifications operation request containing one or more Event Notifications to the Notification Recipient specified in the Subscription Object. The Event Notification content consists of Machine Consumable attributes and a Human Consumable "notify-text" attribute.

123 The Notification Recipient receives the Event Notification as a Send-Notifications operation, in the 124 same way as an IPP Printer receives IPP operations. The Notification Recipient returns a response to 125 the Printer.

126 2 Terminology

127 This section defines the following terms that are used throughout this document:

128 This document uses the same terminology as [RFC2911], such as "client", "Printer", "attribute", 129 "attribute value", "keyword", "operation", "request", "response", and "support". Capitalized terms, such as MUST, MUST NOT, REQUIRED, SHOULD, SHOULD NOT, MAY,
NEED NOT, and OPTIONAL, have special meaning relating to conformance as defined in RFC
2119 [RFC2119] and [RFC2911] section 12.1. If an implementation supports the extension
defined in this document, then these terms apply; otherwise, they do not. These terms define
conformance to *this document only*; they do not affect conformance to other documents, unless
explicitly stated otherwise.

Event Notification Attributes Group – The attributes group in a request that contains Event
 Notification Attributes in a request or response.

138Other capitalized terms, such as Notification Recipient, Event Notification, Compound Event139Notification, Printer, etc., are defined in [ipp-ntfy], have the same meanings, and are not140reproduced here.

141 **3 Model and Operation**

See [ipp-ntfy] for the description of the Event Notification Model and Operation. This Delivery
 Method takes advantage of combining several Event Notifications into a single Compound Event
 Notification that is delivery by a single Send-Notification operation to a single Notification Recipient.

When creating each Subscription object, the client supplies the "notify-recipient" (uri) Subscription Template attribute. The "notify-recipient" attribute specifies both a single Notification Recipient that is to receive the Notifications when subsequent events occur and the method for notification delivery that the IPP Printer is to use. For the Notification Delivery Method defined in this document, the notification method is 'indp' and the rest of the URI is the address of the Notification Recipient to which the IPP Printer will send the Send-Notifications operation.

- 151 The 'indp' Notification Delivery Method defined in this document uses a client/server protocol
- 152 paradigm. The "client" in this relationship is the Printer described in [ipp-ntfy] while the "server" is the
- 153 Notification Recipient. The Printer invokes the Send-Notifications operation to communicate IPP Event
- 154 Notification contents to the Notification Recipient. The Notification Recipient only conveys information
- 155 to the Printer in the form of responses to the operations initiated by the Printer.
- Printers that implement the 'indp' Notification Delivery Method will need to include an HTTP client
 stack while Notification Recipients that implement this Delivery Method will need to support an HTTP
 server stack. See section 10.2 for more details.
- 159 If the client wants the Printer to send Event Notifications via the 'indp' Delivery Method, the client 160 MUST choose a value for "notify-recipient-uri" attribute which conforms to the rules of section 5.2.1.
- 161 When an Event occurs, the Printer MUST immediately:

162	1. Find all pertinent Subscription Objects P according to the rules of section 9 of [ipp-ntfy], AND
163 164	 Find the subset M of these Subscription Objects P whose "notify-recipient-uri" attribute has a scheme value of 'indp', AND
165	3. For each Subscription Object in M, the Printer MUST
166	a) generate a Send-Notifications request as specified in section 8.1.1 AND
167 168	b) send the Send-Notifications request to the Notification Recipient specified by the address part of the "notify-recipient-uri" attribute value (see section 5.2.1).
169	If several events occur sufficiently close to one another for the same or different Subscription objects,
170	but with the same Notification Recipient, the Printer MAY combine them into a single Send-
171	Notifications request using a separate Event Notification Attributes group for each event (see section
172	8.1.1).

173 **4 General Information**

174 If a client or Printer supports this Delivery Method, Table 1 lists its characteristics.

175

Doc	ument Method conformance requirement	'indp' realization
1.	What is the URL scheme name for the Delivery Method?	indp
2.	Is the Delivery Method is REQUIRED, RECOMMENDED, or OPTIONAL for an IPP Printer to support?	RECOMMENDED
3.	What transport and delivery protocol does the Printer use to deliver the Event Notification content, i.e., what is the entire network stack?	A Printer MUST support a complete HTTP/1.1 stack [RFC2616]
4.	Can several Event Notifications be combined into a Compound Event Notification?	A Printer implementation MAY combine several Event Notifications into a single Event Notifications request as separate Event Notification Attributes Groups, see section 8.1.1
5.	Is the Delivery Method initiated by the Notification Recipient (pull), or by the Printer (push)?	This Delivery Method is a push.
6.	Is the Event Notification content Machine Consumable or Human Consumable?	Machine Consumable with the "notify-text" attribute being Human Consumable
7.	What section in this document answers the following question? For a Machine Consumable Event Notification, what is the representation and encoding of values defined in section 9.1 of [ipp-ntfy] and the conformance requirements thereof? For a Human Consumable Event Notification, what is the representation and encoding of pieces of information defined in section 9.2 of [ipp- ntfy] and the conformance requirements thereof?	The representation and encoding is the same as IPP. See section 8.1.1
8.	What are the latency and reliability of the transport and delivery protocol?	Same as for IPP/1.0 or IPP/1.1 itself (see [RFC2911]).
9.	What are the security aspects of the transport and delivery protocol, e.g., how it is handled in firewalls?	See section 15
10.	What are the content length restrictions?	They are the same as for IPP/1.0 and IPP/1.1 itself (see [RFC2911]).
11.	What are the additional values or pieces of	A new Event Notifications attribute group (see

Table 1 - Information about the Delivery Method

Document Method conformance requirement		'indp' realization
	information that a Printer sends in an Event Notification and the conformance requirements thereof?	section 10.1) and additional status codes for use in the response (see section 9)
12.	What are the additional Subscription Template and/or Subscription Description attributes and the conformance requirements thereof?	None
13.	What are the additional Printer Description attributes and the conformance requirements thereof?	None

176

177 The remaining sections of this document parallel the sections of [ipp-ntfy].

178 **5 Subscription object attributes**

179 This section defines the Subscription object conformance requirements for Printers.

180 **5.1 Subscription Template Attribute Conformance**

- 181 The 'indp' Delivery Method has the same conformance requirements for Subscription Template
- attributes as defined in [ipp-ntfy]. The 'indp' Delivery Method does not define any addition Subscription
 Template attributes.

184 **5.2** Additional Information about Subscription Template Attributes

185 This section defines additional information about Subscription Template attributes defined in [ipp-ntfy].

186 5.2.1 notify-recipient-uri (uri)

- 187 This section describes the syntax of the value of this attribute for the 'indp' Delivery Method. The 188 syntax for values of this attribute for other Delivery Method is defined in other Delivery Method
- 189 Documents.
- In order to support the 'indp' Delivery Method and Protocol, the Printer MUST support the followingsyntax:

192 The 'indp://' URI scheme. The remainder of the URI indicates the host name or host address 193 (and optional path) of the Notification Recipient that is to receive the Send-Notification 194 operation. See section 12 for a complete definition of the syntax of the INDP URL.

195 5.3 Subscription Description Attribute Conformance

196 The 'indp' Delivery Method has the same conformance requirements for Subscription Description attributes as defined in [ipp-ntfy]. The 'indp' Delivery Method does not define any addition Subscription 197 198 Description attributes.

6 New Values for Existing Printer Description Attributes 199

200 This Delivery Method does not define any additional Printer Description attribute from those defined in 201 [ipp-ntfy]. However, it does define additional values for existing Printer Description attributes defined 202 in [ipp-ntfy]. This section defines those additional values.

203 6.1 notify-schemes-supported (1setOf uriScheme)

- 204 The following value of the "notify-schemes-supported" Printer attribute (see [ipp-ntfy] section 5.3.1) is 205 added in order to support the new Delivery Method defined in this document:
- 206 'indp' - The IPP Notification Delivery Method defined in this document.

207 6.2 operations-supported (1setOf type2 enum)

208 Table 2 lists the value of the "operation-id" operation parameter (see [RFC2911]) and the value of the 209 "operations-supported" Printer Description attribute (see [RFC2911]) added in order to support the 210 new operation defined in this document. The operation-id is assigned in the same name space as other operations that a Printer supports. However, a Printer MUST NOT include this value in its 211 212 "operations-supported" attribute unless it can accept the Send-Notifications request.

- 213

Table 2 – Operation-id assignments

Value	Operation Name
0x001D	Send-Notifications

214

215 **7 Attributes Only in Event Notifications**

No additional attributes are defined only for use in Event Notifications besides those defined in [ippntfy].

218 8 Operations for Notification

- 219 This section defines the operation for Event Notification using the 'indp' Delivery Method.
- There is only one operation defined: Send-Notifications. Section 6.2 assigns of the "operation-id" for
- the Send-Notifications operation and the following section defined the operation.

222 **8.1 Send-Notifications operation**

- This REQUIRED operation allows a Printer to send one or more Event Notifications to a NotificationRecipient using HTTP.
- The Printer composes the information defined for an IPP Notification [ipp-ntfy] and sends it using the Send-Notifications operation to the Notification Recipient supplied in the Subscription object. The ordering of separate Send-Notifications operations that a Printer sends MUST follow the "Event Notification Ordering" requirements in [ipp-ntfy] section 9.
- The Send-Notifications operation uses the operations model defined by IPP [RFC2566]. This includes, the use of a URI as the identifier for the target of each operation, the inclusion of a version number,
- 231 operation-id, and request-id in each request, and the definition of attribute groups. The Send-
- 232 Notifications operation uses the Operation Attributes group, but currently has no need for the
- Unsupported Attributes, Printer Object Attributes, and Job-Object Attributes groups. However, it usesa new attribute group, the Event Notification Attributes group.
- The Notification Recipient MUST accept the request in any state. There is no state defined for theNotification Recipient for this Delivery Method.
- Access Rights: Notification Recipient MAY enforce access rights. If the Printer receives a rejection with these status codes: 'client-error-forbidden', 'client-error-not-authenticated', or 'client-error-notauthorized' status code , the Printer SHOULD cancel the subscription.

240 **8.1.1 Send-Notifications Request**

- 241 Every operation request MUST contains the following parameters (see [RFC2911] section 3.1.1):
- a "version-number" '1.0' the version of the 'indp' protocol is '1.0'.

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IPP: The 'indp' Method and Protocol

243 244 245	 an "operation-id" - the value defined in Table 2 a "request-id" - the request id (see [RFC2911] section 3.1.2).
243 246	The following groups of attributes MUST be part of the Send-Notifications Request:
247	Group 1: Operation Attributes
248	Natural Language and Character Set:
249	The "attributes-charset" and "attributes-natural-language" attributes as defined in [RFC2911]
250	section 3.1.4.1.
251	The Printer MUST use the values of "notify-charset" and "notify-natural-language",
252	respectively, from one Subscription Object associated with the Event Notifications in this
253	request.
254	Normally, there is only one matched Subscription Object, or the value of the "notify-charset"
255	and "notify-natural-language" attributes is the same in all Subscription Objects. If not, the
256	Printer MUST pick one Subscription Object from which to obtain the value of these attributes.
257	The algorithm for picking the Subscription Object is implementation dependent. The choice of
258	natural language is not critical because 'text' and 'name' values can override the "attributes-
259	natural-language" Operation attribute. The Printer's choice of charset is critical because a bad
260	choice may leave it unable to send some 'text' and 'name' values accurately.
261	Target:
261 262	Target: A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of
262	A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of
262 263	A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification
262 263 264	A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1).
262 263 264 265	A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1).Group 2 to N: Event Notification Attributes
262 263 264 265 266	A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1).Group 2 to N: Event Notification AttributesIn each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes
262 263 264 265 266 267	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the Get-
262 263 264 265 266 267 268	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The
262 263 264 265 266 267 268 269	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The entire request is considered a single Compound Event Notification and MUST follow the
262 263 264 265 266 267 268 269 270	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The entire request is considered a single Compound Event Notification and MUST follow the "Event Notification Ordering" requirements for Event Notifications within a Compound Event
262 263 264 265 266 267 268 269 270 271	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The entire request is considered a single Compound Event Notification and MUST follow the "Event Notification Ordering" requirements for Event Notifications within a Compound Event Notification specified in [ipp-ntfy] section 9.
262 263 264 265 266 267 268 269 270 271 272	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The entire request is considered a single Compound Event Notification and MUST follow the "Event Notification Ordering" requirements for Event Notifications within a Compound Event Notification specified in [ipp-ntfy] section 9. Each Event Notification Group MUST contain all of attributes specified in [ipp-ntfy] section
262 263 264 265 266 267 268 269 270 271 272 273	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The entire request is considered a single Compound Event Notification and MUST follow the "Event Notification Ordering" requirements for Event Notifications within a Compound Event Notification specified in [ipp-ntfy] section 9. Each Event Notification Group MUST contain all of attributes specified in [ipp-ntfy] section 9.1 ("Content of Machine Consumable Event Notifications") with exceptions denoted by
262 263 264 265 266 267 268 269 270 271 272 273 274	 A copy of the Subscription object's "notify-recipient-uri" (uri) attribute which is the target of this operation as described in [RFC2911] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1). Group 2 to N: Event Notification Attributes In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [RFC2910] and the attributes within a group MAY be encoded in any order. Note: the GetJobs response in [RFC2911] acts as a model for encoding multiple groups of attributes. The entire request is considered a single Compound Event Notification and MUST follow the "Event Notification Ordering" requirements for Event Notifications within a Compound Event Notification specified in [ipp-ntfy] section 9. Each Event Notification Group MUST contain all of attributes specified in [ipp-ntfy] section 9.1 ("Content of Machine Consumable Event Notifications") with exceptions denoted by asterisks in the tables below.

277

For an Event Notification for all Events, the Printer sends the following attributes.

278

 Table 3 – Attributes in Event Notification Content

Source Value	Sends	Source Object
notify-subscription-id (integer(1:MAX))	MUST	Subscription
notify-printer-uri (uri)	MUST	Subscription
notify-subscribed-event (type2 keyword)	MUST	Event Notification
printer-up-time (integer(MIN:MAX))	MUST	Printer
printer-current-time (dateTime)	MUST *	Printer
notify-sequence-number (integer (0:MAX))	MUST	Subscription
notify-charset (charset)	MUST	Subscription
notify-natural-language (naturalLanguage)	MUST	Subscription
notify-user-data (octetString(63))	MUST **	Subscription
notify-text (text (MAX))	MUST	Event Notification
attributes from the "notify-attributes" attribute, if any	MUST ***	Printer
attributes from the "notify-attributes" attribute, if any	MUST ***	Job
attributes from the "notify-attributes" attribute, if any	MUST ***	Subscription

279 280

281

* The Printer MUST send "printer-current-time" if and only if it supports the "printer-current-time" attribute on the Printer object.

** If the associated Subscription Object does not contain a "notify-user-data" attribute, the Printer MUST send an octet-string of length 0.

- *** If the "notify-attributes" attribute is present on the Subscription Object, the Printer MUST
 send all attributes specified by the "notify-attributes" attribute. Note: if the Printer doesn't
 support the "notify-attributes" attribute, it is not present on the associated Subscription Object
 and the Printer does not send any client-requested attributes.
- 288For Event Notifications for Job Events, the Printer sends the following additional attributes289shown in Table 4.

Table 4 – Additional Attributes in Event Notification Content for Job Events

Source Value	Sends	Source Object
job-id (integer(1:MAX))	MUST	Job
job-state (type1 enum)	MUST	Job
job-state-reasons (1setOf type2 keyword)	MUST	Job
job-impressions-completed (integer(0:MAX))	MUST *	Job

291

290

292

293

* The Printer MUST send the "job-impressions-completed" attribute in an Event Notification only for the combinations of Events and Subscribed Events shown in Table 5.

294

295

Table 5 – Combinations of Events and Subscribed Events for "job-impressions-completed"

Job Event	Subscribed Job Event
'job-progress'	'job-progress'
'job-completed'	'job-completed'
'job-completed'	'job-state-changed'

296

For Event Notification for Printer Events, the Printer sends the following additional attributesshown in Table 6.

299

Table 6 – Additional Attributes in Event Notification Content for Printer Events

Source Value	Sends	Source Object
printer-state (type1 enum)	MUST	Printer
printer-state-reasons (1setOf type2 keyword)	MUST	Printer
printer-is-accepting-jobs (boolean)	MUST	Printer

300

301 8.1.2 Send-Notifications Response

- 302The Notification Recipient MUST return (to the client which is the Printer) the following sets of303attributes as part of a Send-Notifications response:
- Every operation response contains the following REQUIRED parameters (see [RFC2911] section3.1.1):

306	- a "version-number"
307	- a "status-code"
308	- the "request-id" that was supplied in the corresponding request
309	
310	Group 1: Operation Attributes
311	Status Message:
312	As defined in [RFC2911].
313	The Notification Recipient can return any status codes defined in [RFC2911] and section 9.1
314	that applies to all of the Event Notification Attribute groups. The following is a description of
315	the important status codes:
316	'successful-ok': the Notification Recipient received all of the Event Notification Attribute
317	Groups and was expecting each of them.
318	'successful-ok-ignored-notifications': the Notification Recipient was able to consume
319	some, but not all of the Event Notification Attributes Groups sent. The Event
320	Notification Attributes Groups with a "notify-status-code" attribute are the ones that
321	were ignored or are to be canceled.
322	'client-error-ignored-all-notifications': the Notification Recipient was unable to
323	consume any of the Event Notification Attributes Groups sent. The Event
324	Notification Attributes Groups with a "notify-status-code" attribute are the ones that
325	were ignored or are to be canceled.
326	Natural Language and Character Set:
327	The "attributes-charset" and "attributes-natural-language" attributes as defined in [RFC2911]
328	section 3.1.4.1.
329	Group 2 to N: Notification Attributes
330	These groups MUST be returned if and only if the "status-code" parameter returned in Group 1 is
331	anything but the 'successful-ok' status code.
332	"notify-status-code" (type2 enum)
333	Indicates whether the Notification Recipient was able to consume the n-th Notification Report
334	as follows:

335	'successful-ok' - this Event Notification Attribute Group was consumed
336	'client-error-not-found' - this Event Notification Attribute Group was not able to be
337	consumed. The Printer MUST cancel the Subscription and MUST NOT attempt to
338	send any further Event Notifications from the associated Subscription object.
339	'successful-ok-but-cancel-subscription' - the Event Notification Attribute Group was
340	consumed, but the Notification Recipient wishes to cancel the Subscription object.
341	The Printer MUST cancel the Subscription and MUST NOT attempt to send any
342	further Event Notifications from the associated Subscription object.

343 9 Status Codes

This section lists status codes whose meaning have been extended and/or defined for returning in Event Notification Attribute Groups as the value of the "notify-status-code" operation attribute. The code values are allocated in the same space as the status codes in [RFC2911].

347 9.1 Additional Status Codes

The following status codes are defined as extensions for Notification and are returned as the value of the "status-code" parameter in the Operation Attributes Group of a response (see [RFC2911] section 3.1.6.1). Operations in this document can also return the status codes defined in section 13 of [RFC2911]. The 'successful-ok' status code is an example of such a status code.

352 **9.1.1 successful-ok-ignored-notifications (0x0004)**

The Notification Recipient was able to consume some, but not all, of the Event Notifications Attributes Groups sent by the Printer in the Send-Notifications request. See section 8.1.2 for further details.

355 **9.1.2 client-error-ignored-all-notifications (0x0416)**

The Notification Recipient was unable to consume any of the Event Notification Attributes Groups sent by the Printer. The Event Notification Attributes Groups with a "notify-status-code" attribute are the ones that were ignored or are to be canceled. The Printer MAY remove subscriptions for future events which this client was unable to consume.

9.2 Status Codes returned in Event Notification Attributes Groups

361 This section contains values of the "notify-status-code" attribute that the Notification Recipient returns

in a Event Notification Attributes Group in a response when the corresponding Event Notification
 Attributes Group in the request:

- 364 1. was not consumed OR
- 2. was consumed, but the Notification Recipient wants to cancel the corresponding Subscription 365 366 object
- 367 The following sections are ordered in decreasing order of importance of the status-codes.

368 9.2.1 client-error-not-found (0x0406)

- 369 This status code is defined in [RFC2911]. This document extends its meaning and allows it to be 370 returned in an Event Notification Attributes Group of a response.
- 371 The Notification Recipient was unable to consume this Event Notification Attributes Group because it 372 was not expected. See section 8.1.2 for further details.

373 9.2.2 successful-ok-but-cancel-subscription (0x0006)

374 The Notification Recipient was able to consume this Event Notification Attributes Group that the Printer sent, but wants the corresponding Subscription object to be canceled none-the-less. See section 375 376 8.1.2 for further details.

10 Encoding and Transport 377

378 This section defines the encoding and transport used by the 'indp' Delivery Method.

379 10.1 Encoding of the Operation Layer

- 380 The 'indp' Delivery Method uses the IPP operation layer encoding described in [RFC2910] and the 381
- Event Notification Attributes Group tag allocated by [ipp-ntfy] as shown in Table 7:
- 382

Table 7 – The "event-notification-attributes-tag" value

Tag Value (Hex)	Meaning
0x07	"event-notification-attributes-tag"

383

384 10.2 Encoding of Transport Layer

385 The 'indp' Notification Delivery Method uses the IPP transport layer encoding described in [RFC2910].

- 386 It is REQUIRED that an 'indp' Notification Recipient implementation support HTTP over the IANA-
- 387 assigned well known system port assigned to the 'indp' Delivery Method as its default port by IANA
- (see section 13), though a Notification Recipient implementation MAY support HTTP over some other
 port as well.

390 11 Conformance Requirements

391 This section defines conformance requirements for Printers and Notification Recipients.

392 **11.1 Conformance Requirements for Printers**

- 393 The 'indp' Delivery Method is RECOMMENDED for a Printer to support.
- 394 IPP Printers that conform to this specification:
- 395 1. MUST meet the conformance requirements defined in [ipp-ntfy].
- MUST support the conformance requirements for Subscription object attributes defined in section 5, including the syntax for the "notify-recipient-uri" Subscription Object attribute defined in section 5.2.1.
- 399 3. MUST support the conformance requirements for Printer Description object attributes defined in section 6.
- 401
 4. MUST support the 'indp' protocol by sending Event Notifications using the Send-Notifications operation defined in section 8.1.
- 403 5. MUST send INDP URLs (e.g., in the "notify-recipient-uri" attribute in 'Send-Notifications') that
 404 conform to the ABNF specified in section 12.5 of this document;
- 405
 406
 6. MUST send the Send-Notifications operation via the port specified in the INDP URL (if present) or otherwise via the IANA-assigned well-known system port xxx [TBA by IANA];
- 407
 408
 408
 409
 7. MUST convert INDP URLs for use in the Send-Notifications operation to their corresponding 408 HTTP URL forms for use in the HTTP layer by the same rules used to convert IPP URLs to their 409 corresponding HTTP URL forms (see section 5 'IPP URL Scheme' in [RFC2910]).

410 **11.2 Conformance Requirements for INDP Notification Recipients**

411 INDP Notification Recipients that conform to this specification:

- MUST accept Send-Notifications requests and return Send-Notifications responses as defined in sections 8 and 9.
- 414
 415
 415
 416
 2. SHOULD reject received INDP URLs in "application/ipp" request bodies (e.g., in the "notifyrecipient-uri" attribute in 'Send-Notifications') that do not conform to the ABNF for INDP URLs specified in section 12.5 of this document;
- 417
 418
 418 MUST listen for INDP operations on IANA-assigned well-known system port xxx [TBA by IANA], unless explicitly configured by system administrators or site policies;
- 4. SHOULD NOT listen for INDP operations on any other port, unless explicitly configured by system
 administrators or site policies.

421 **12 INDP URL Scheme**

422 **12.1 INDP URL Scheme Applicability and Intended Usage**

- This section is intended for use in registering the "indp" URL scheme with IANA and fully conforms to the requirements in [RFC2717]. This document defines the "indp" URL (Uniform Resource Locator) scheme for specifying the location of an INDP Notification Recipient object which implements IPP Notification Delivery Protocol (INDP) specified in this document.
- 427 The intended usage of the "indp" URL scheme is COMMON.

428 **12.2 INDP URL Scheme Associated INDP Port**

- All INDP URLs which do NOT explicitly specify a port MUST be used over IANA-assigned well known system port xxx [TBA by IANA] for the INDP protocol.
- 431 See: IANA Port Numbers Registry [IANA-PORTREG].

432 **12.3 INDP URL Scheme Associated MIME Type**

- 433 All INDP protocol operations (requests and responses) MUST be conveyed in an "application/ipp"
- 434 MIME media type as registered in [IANA-MIMEREG]. INDP URLs MUST refer to INDP
- 435 Notification Recipient objects which support this "application/ipp" MIME media type.
- 436 See: IANA MIME Media Types Registry [IANA-MIMEREG].

437 **12.4 INDP URL Scheme Character Encoding**

438 The INDP URL scheme defined in this document is based on the ABNF for the HTTP URL scheme

defined in HTTP/1.1 [RFC2616], which is derived from the URI Generic Syntax [RFC2396] and further

440 updated by [RFC2732] and [RFC2373] (for IPv6 addresses in URLs). The INDP URL scheme is case-

441 insensitive in the 'scheme' and 'host' (host name or host address) part; however the 'abs_path' part is

- 442 case-sensitive, as in [RFC2396]. Code points outside [US-ASCII] MUST be hex escaped by the
- 443 mechanism specified in [RFC2396].

444 **12.5 INDP URL Scheme Syntax in ABNF**

- The IPP protocol places a limit of 1023 octets (NOT characters) on the length of a URI (see section
- 446 4.1.5 'uri' in [RFC2911]). An INDP Notification Recipient MUST return 'client-error-request-value-
- 447 too-long' (see section 13.1.4.10 in [RFC2911]) when a URI received in a request is too long.
- 448 Note: INDP Notification Recipients ought to be cautious about depending on URI lengths above 255
 449 bytes, because some older client or proxy implementations might not properly support these lengths.

INDP URLs MUST be represented in absolute form. Absolute URLs always begin with a scheme name
followed by a colon. For definitive information on URL syntax and semantics, see "Uniform Resource
Identifiers (URI): Generic Syntax and Semantics" [RFC2396]. This specification adopts the definitions
of "port", "host", "abs_path", and "query" from [RFC2396], as updated by [RFC2732] and [RFC2373]
(for IPv6 addresses in URLs).

- 455 The INDP URL scheme syntax in ABNF is as follows:
- 456 indp_URL = "indp:" "//" host [":" port] [abs_path ["?" query]] 457

458 If the port is empty or not given, IANA-assigned well-known system port xxx [TBA by IANA] is
459 assumed. The semantics are that the identified resource (see section 5.1.2 of [RFC2616]) is located at
460 the INDP Notification Recipient listening for HTTP connections on that port of that host, and the
461 Request-URI for the identified resource is 'abs_path'.

- 462 Note: The use of IP addresses in URLs SHOULD be avoided whenever possible (see [RFC1900]).
- If the 'abs_path' is not present in the URL, it MUST be given as "/" when used as a Request-URI for a
 resource (see section 5.1.2 of [RFC2616]). If a proxy receives a host name which is not a fully qualified
 domain name, it MAY add its domain to the host name it received. If a proxy receives a fully qualified
 domain name, the proxy MUST NOT change the host name.

467 **12.5.1 INDP URL Examples**

468 469	The following are examples of valid INDP UP names):	RLs for Notification Recipient objects (using DNS host
470	indp://abc.com	
471	indp://abc.com/listener	
472		
473	Note: The use of IP addresses in URLs SHO	ULD be avoided whenever possible (see [RFC1900]).
474	The following literal IPv4 addresses:	
475	192.9.5.5	; IPv4 address in IPv4 style
476	186.7.8.9	; IPv4 address in IPv4 style
477		2
478	are represented in the following example IND	PP URLs:
479	indp://192.9.5.5/listener	
480	indp://186.7.8.9/listeners/t	om
481		
482	The following literal IPv6 addresses (conform	nant to [RFC2373]):
483	::192.9.5.5	; IPv4 address in IPv6 style
484		; IPv4 address in IPv6 style
485	2010:836B:4179::836B:4179	
486		
487	are represented in the following example IND	P URLs:
488	indp://[:::192.9.5.5]/listene:	r
489	indp://[::FFFF:129.144.52.38	
490	indp://[2010:836B:4179::836B	
491		11, 7, 1, 110001010, 00m

492 **12.5.2 INDP URL Comparisons**

493 When comparing two INDP URLs to decide if they match or not, the comparer MUST use the same 494 rules as those defined for HTTP URI comparisons in [RFC2616], with the sole following exception:

495
 A port that is empty or not given MUST be treated as equivalent to the well-known system port xxx [TBA by IANA] for that INDP URL;

497

498 **13 IANA Considerations**

- IANA shall register the indp URL scheme as defined in section 12 according to the procedures of[RFC2717] and assign a well-known system port.
- 501 The rest of this section contains the exact information for IANA to add to the IPP Registries according 502 to the procedures defined in RFC 2911 [RFC2911] section 6.
- 503Note to RFC Editors: Replace RFC NNNN below with the RFC number for this document, so that it504accurately reflects the content of the information for the IANA Registry.

505 **13.1 Operation Registrations**

506 The following table lists the operation defined in this document. This is to be registered according to 507 the procedures in RFC 2911 [RFC2911] section 6.4.

508	Operations:	Ref.	
509	Section:		
510	Send-Notifications operation	RFC NNNN	8.1
511			
512	The resulting operation registration will be published in the		
513	ftp://ftp.iana.org/in-notes/iana/assignments/ipp/operations/		
514	area.		

516 **13.2** Additional attribute value registrations for existing attributes

517 This section lists additional attribute value registrations for use with existing attributes defined in other 518 documents.

519 **13.2.1** Additional values for the "notify-schemes-supported" Printer attribute

520 The following table lists the uriScheme value defined in this document as an additional uriScheme value 521 for use with the "notify-schemes-supported" Printer attribute defined in [ipp-ntfy]. This is to be 522 registered according to the procedures in RFC 2911 [RFC2911] section 6.1.

523	uriScheme Attribute Values:	Ref.	Section:
524	indp	RFC NNNN	6.1
525			
526	The resulting LIPI scheme attribute value registration will be publis	had in the	

- 526 The resulting URI scheme attribute value registration will be published in the
- 527 <u>ftp://ftp.iana.org/in-notes/iana/assignments/ipp/attribute-values/notify-schemes-supported/</u>
- 528 area.

515

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530 **13.2.2** Additional values for the "operations-supported" Printer attribute

531 The following table lists the enum attribute value defined in this document as an additional type2 enum 532 value for use with the "operations-supported" Printer attribute defined in [RFC2911]. This is to be 533 registered according to the procedures in RFC 2911 [RFC2911] section 6.1.

534	type2 enum Attribute Values:	Value	Ref.	Section:
535	Send-Notifications	0x001D	RFC NNNN	6.2

- 536 537 The resulting enum attribute value registration will be published in the
- 538 ftp://ftp.iana.org/in-notes/iana/assignments/ipp/attribute-values/operations-supported/
- 539

area.

540

541 **13.3 Status code Registrations**

542 The following table lists all the status codes defined in this document. These are to be registered 543 according to the procedures in RFC 2911 [RFC2911] section 6.6.

544 545 546 547	Status codes: successful-ok-ignored-notifications (0x0004) client-error-ignored-all-notifications (0x0416)	Ref. RFC NNNN RFC NNNN	Section: 9.1.1 9.1.2
548 549 550 551	Status Codes in Event Notification Attributes G client-error-not-found (0x0406) successful-ok-but-cancel-subscription (0x0006)	roups: RFC NNNN RFC NNNN	9.2.1 9.2.2
552 553 554	The resulting status code registrations will be published in the ftp://ftp.iana.org/in-notes/iana/assignments/ipp/status-codes/area.		

554 555

556 **14 Internationalization Considerations**

557 When the client requests Human Consumable form by supplying the "notify-text-format" operation 558 attribute (see [ipp-ntfy]), the IPP Printer (or any Notification Service that the IPP Printer might be 559 configured to use) supplies and localizes the text value of the "human-readable-report" attribute in the 560 Notification according to the charset and natural language requested in the notification subscription.

561 **15 Security Considerations**

562 The IPP Model and Semantics document [RFC2911] discusses high level security requirements (Client 563 Authentication, Server Authentication and Operation Privacy). Client Authentication is the mechanism 564 by which the client proves its identity to the server in a secure manner. Server Authentication is the 565 mechanism by which the server proves its identity to the client in a secure manner. Operation Privacy is 566 defined as a mechanism for protecting operations from eavesdropping.

567 The Notification Recipient can cancel unwanted Subscriptions created by other parties without having 568 to be the owner of the subscription by returning the 'successful-ok-but-cancel-subscription' status code 569 in the Send-Notifications response returned to the Printer.

570 **15.1 Security Conformance**

- 571 Printers (client) MAY support Digest Authentication [RFC2617]. If Digest Authentication is
 572 supported, then MD5 and MD5-sess MUST be supported, but the Message Integrity feature NEED
 573 NOT be supported.
- 574 Notification Recipient (server) MAY support Digest Authentication [RFC2617]. If Digest
- 575 Authentication is supported, then MD5 and MD5-sess MUST be supported, but the Message Integrity 576 feature NEED NOT be supported.
- 577 Notification Recipients MAY support TLS for client authentication, server authentication and operation
 578 privacy. If a Notification Recipient supports TLS, it MUST support the
- 579 TLS_DHE_DSS_WITH_3DES_EDE_CBC_SHA cipher suite as mandated by RFC 2246 [RFC2246].
- 580 All other cipher suites are OPTIONAL. Notification recipients MAY support Basic Authentication
- 581 (described in HTTP/1.1 [RFC2616]) for client authentication if the channel is secure. TLS with the
- above mandated cipher suite can provide such a secure channel.

583 16 References

584

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- 591 2001.

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- 654
- 655
- 656 IPP Web Page: http://www.pwg.org/ipp/
- 657 IPP Mailing List: ipp@pwg.org658
- 659 To subscribe to the ipp mailing list, send the following email:

- 660 1) send it to majordomo@pwg.org
- 661 2) leave the subject line blank

end

- 662 3) put the following two lines in the message body:
- subscribe ipp
- 664
- 665

679

Implementers of this specification document are encouraged to join the IPP Mailing List in order to
 participate in any discussions of clarification issues and review of registration proposals for additional
 attributes and values. In order to reduce spam the mailing list rejects mail from non-subscribers, so you
 must subscribe to the mailing list in order to send a question or comment to the mailing list.

670 **18 Summary of Base IPP documents**

- 671 The base IPP documents includes:
- 672Design Goals for an Internet Printing Protocol [RFC2567]
- 673 Rationale for the Structure and Model and Protocol for the Internet Printing Protocol [RFC2568]
- 674 Internet Printing Protocol/1.1: Model and Semantics [RFC2911]
- 675 Internet Printing Protocol/1.1: Encoding and Transport [RFC2910]
- 676 Internet Printing Protocol/1.1: Implementer's Guide [ipp-iig]
- 677 Mapping between LPD and IPP Protocols [RFC2569]
- 678 Internet Printing Protocol (IPP): IPP Event Notifications and Subscriptions [ipp-ntfy]
- The "Design Goals for an Internet Printing Protocol" document takes a broad look at distributed
 printing functionality, and it enumerates real-life scenarios that help to clarify the features that need to
 be included in a printing protocol for the Internet. It identifies requirements for three types of users:
 end users, operators, and administrators. It calls out a subset of end user requirements that are satisfied
 in IPP/1.0 [RFC2566, RFC2565]. A few OPTIONAL operator operations have been added to IPP/1.1
 [RFC2911, RFC2910].
- The "Rationale for the Structure and Model and Protocol for the Internet Printing Protocol" document
 describes IPP from a high level view, defines a roadmap for the various documents that form the suite of
 IPP specification documents, and gives background and rationale for the IETF working group's major
 decisions.
- The "Internet Printing Protocol/1.1: Model and Semantics" document describes a simplified model with
 abstract objects, their attributes, and their operations that are independent of encoding and transport. It
 introduces a Printer and a Job object. The Job object optionally supports multiple documents per Job.
 It also addresses security, internationalization, and directory issues.
- The "Internet Printing Protocol/1.1: Encoding and Transport" document is a formal mapping of the abstract operations and attributes defined in the model document onto HTTP/1.1 [RFC2616]. It defines

- the encoding rules for a new Internet MIME media type called "application/ipp". This document also
 defines the rules for transporting a message body over HTTP whose Content-Type is "application/ipp".
 This document defines the 'ipp' scheme for identifying IPP printers and jobs.
- The "Internet Printing Protocol/1.1: Implementer's Guide" document gives insight and advice to
 implementers of IPP clients and IPP objects. It is intended to help them understand IPP/1.1 and some
 of the considerations that may assist them in the design of their client and/or IPP object
- of the considerations that may assist them in the design of their client and/or IPP object
 implementations. For example, a typical order of processing requests is given, including error checking.
- 703 Motivation for some of the specification decisions is also included.
- The "Mapping between LPD and IPP Protocols" document gives some advice to implementers of
 gateways between IPP and LPD (Line Printer Daemon) implementations.

The "Internet Printing Protocol (IPP): IPP Event Notifications and Subscriptions" document defines an
extension to IPP/1.0 [RFC2566, RFC2565] and IPP/1.1 [RFC2911, RFC2910]. This extension allows
a client to subscribe to printing related Events by creating a *Subscription Object* and defines the
semantics for delivering asynchronous *Event Notifications* to the specified *Notification Recipient* via a
specified *Delivery Method* (i.e., protocols) defined in (separate) Delivery Method documents.

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