1	INTERNET-DRAFT
2	<draft-ietf-ipp-indp-method-03.txt></draft-ietf-ipp-indp-method-03.txt>
3	Category: standards track Hugo Parra
4	Novell, Inc
5	Tom Hasting
6	Xerox Corp
7	August 29, 2000
8	Internet Printing Protocol (IPP):
9	The 'indp' Delivery Method for Event Notifications and Protocol/1.0
10	Copyright (C) The Internet Society (2000). All Rights Reserved.
11	Status of this Memo
12	This document is an Internet-Draft and is in full conformance with all provisions of Section 10 of [rfc2026].
13	Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working
14	groups. Note that other groups may also distribute working documents as Internet-Drafts.
15	Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or
16	obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite
17	them other than as "work in progress".
18	The list of current Internet-Drafts can be accessed at http://www.ietf.org/ietf/1id-abstracts.txt
19	The list of Internet-Draft Shadow Directories can be accessed as http://www.ietf.org/shadow.html.
20	Abstract
21	The IPP notification extension document [ipp-ntfy] defines operations that a client can perform in order to create
22	Subscription Objects in a Printer and carry out other operations on them. The Subscription Object specifies that
23	when one of the specified <i>Events</i> occurs, the Printer sends an asynchronous <i>Event Notification</i> to the specified
24	Notification Recipient via the specified Delivery Method (i.e., protocol).
25	The notification extension document [ipp-ntfy] specifies that each Delivery Method is defined in another document.
26	This document is one such document, and it specifies the 'indp' Delivery Method and Protocol. This Delivery
27	Method is a simple protocol consisting of a single operation: the Send-Notifications operation which uses the same
28	encoding and transport as IPP. This document defines version '1.0' of the protocol.
29	For this Delivery Method, when an Event occurs, the Printer immediately sends (pushes) an Event Notification via
30	the Send-Notifications operation to the Notification Recipient specified in the Subscription Object. The Event
31	Notification content consists of Machine Consumable attributes and a Human Consumable "notify-text" attribute.
32	The Notification Recipient returns a response to the Printer.
33	

Parra, Hastings Expires: February 29, 2001 [page 1]

- The full set of IPP documents includes:
- Design Goals for an Internet Printing Protocol [RFC2567]
- Rationale for the Structure and Model and Protocol for the Internet Printing Protocol [RFC2568]
- Internet Printing Protocol/1.1: Model and Semantics [ipp-mod]
- 37 Internet Printing Protocol/1.1: Encoding and Transport [ipp-pro]
- 38 Internet Printing Protocol/1.1: Implementer's Guide [ipp-iig]
- 39 Mapping between LPD and IPP Protocols [RFC2569]
- 40 Internet Printing Protocol (IPP): IPP Event Notification Specification [ipp-ntfy]
- The "Design Goals for an Internet Printing Protocol" document takes a broad look at distributed printing
- functionality, and it enumerates real-life scenarios that help to clarify the features that need to be included in a
- printing protocol for the Internet. It identifies requirements for three types of users: end users, operators, and
- administrators. It calls out a subset of end user requirements that are satisfied in IPP/1.0. A few OPTIONAL
- operator operations have been added to IPP/1.1.
- The "Rationale for the Structure and Model and Protocol for the Internet Printing Protocol" document describes
- 47 IPP from a high level view, defines a roadmap for the various documents that form the suite of IPP specification
- documents, and gives background and rationale for the IETF working group's major decisions.
- The "Internet Printing Protocol/1.1: Model and Semantics" document describes a simplified model with abstract
- objects, their attributes, and their operations that are independent of encoding and transport. It introduces a Printer
- and a Job object. The Job object optionally supports multiple documents per Job. It also addresses security,
- 52 internationalization, and directory issues.
- The "Internet Printing Protocol/1.1: Encoding and Transport" document is a formal mapping of the abstract
- operations and attributes defined in the model document onto HTTP/1.1 [RFC2616]. It defines the encoding rules
- for a new Internet MIME media type called "application/ipp". This document also defines the rules for transporting
- a message body over HTTP whose Content-Type is "application/ipp". This document defines a new scheme
- 57 named 'ipp' for identifying IPP printers and jobs.
- The "Internet Printing Protocol/1.1: Implementer's Guide" document gives insight and advice to implementers of
- 59 IPP clients and IPP objects. It is intended to help them understand IPP/1.1 and some of the considerations that
- may assist them in the design of their client and/or IPP object implementations. For example, a typical order of
- processing requests is given, including error checking. Motivation for some of the specification decisions is also
- 62 included.
- The "Mapping between LPD and IPP Protocols" document gives some advice to implementers of gateways
- between IPP and LPD (Line Printer Daemon) implementations.
- 65 The "Internet Printing Protocol (IPP): IPP Event Notification Specification" document defines the semantics for
- Subscription Creation Operations and the requirements for other Delivery Method documents to define a Delivery
- Method to carry an Event Notifications to a Notification Recipient.

Parra, Hastings Expires: February 29, 2001 [page 2]

69	9 Table of Contents		
70	1 Introduction	5	
71	2 Terminology	5	
72	3 Model and Operation	6	
73	4 General Information	7	
74 75 76 77 78	5 Subscription object attributes		
79 80 81 82 83	6 Printer Description Attributes		
84	7 Attributes Only in Event Notifications	10	
85 86 87 88	8 Operations for Notification	11	
89 90 91 92 93 94	9 Status Codes  9.1 ADDITIONAL STATUS CODES	1: 1: 1: 1:	
95 96 97	10 Encoding and Transport	10	
98 99 100	11 Conformance Requirements  11.1 PRINTER CONFORMANCE REQUIREMENTS	10	
101	12 IANA Considerations	17	

102

	INTERNET-DRAFT IPP: The 'indp' Notification Delivery Method and Protocol	August 29, 2000
103 104	14 Security Considerations	
105	15 References	18
106	16 Author's Addresses	18
107	17 Full Copyright Statement	19
108		
109	Tables	
110	Table 1 - Information about the Delivery Method	7
111	Table 2 – Operation-id assignments.	10
112	Table 3 – Attributes in Event Notification Content	12
113	Table 4 – Additional Attributes in Event Notification Content for Job Events	13
114	Table 5 – Combinations of Events and Subscribed Events for "job-impressions-completed"	13
115	Table 6 – Additional Attributes in Event Notification Content for Printer Events	13

117

## 1 Introduction

- The notification extension document [ipp-ntfy] defines operations that a client can perform in order to create
- 119 Subscription Objects in a Printer and carry out other operations on them. A Subscription Object represents a
- Subscription abstraction. The Subscription Object specifies that when one of the specified *Events* occurs, the
- Printer sends an asynchronous *Event Notification* to the specified *Notification Recipient* via the specified
- 122 Delivery Method (i.e., protocol).
- The notification extension document [ipp-ntfy] specifies that each Delivery Method is defined in another document.
- This document is one such document, and it specifies the 'indp' Delivery Method. This Delivery Method is a
- simple protocol consisting of a single operation: the Send-Notifications operation which uses the same encoding
- and transport as IPP. This document defines version '1.0' of the protocol.
- For the 'indp' Delivery Method, an IPP Printer sends (pushes) a Send-Notifications operation request containing
- one or more Event Notifications to the Notification Recipient specified in the Subscription Object. The Event
- Notification content consists of Machine Consumable attributes and a Human Consumable "notify-text" attribute.
- 130 The Notification Recipient receives the Event Notification as a Send-Notifications operation, in the same way as an
- 131 IPP Printer receives IPP operations. The Notification Recipient returns a response to the Printer.

## 132 **2 Terminology**

- This section defines the following terms that are used throughout this document:
- Terms such as attributes, keywords, and support. These terms have special meaning and are defined in the model terminology [ipp-mod] section 12.2.
- 136 Capitalized terms, such as MUST, MUST NOT, REQUIRED, SHOULD, SHOULD NOT, MAY, NEED
- NOT, and OPTIONAL, have special meaning relating to conformance as specified in RFC 2119
- [RFC2119] and [ipp-mod] section 12.1. These terms refer to conformance to this document, if this
- document is implemented.
- 140 Capitalized terms, such as Notification Recipient, Event Notification, Printer, etc., that are defined in [ipp-ntfy]
- with the same meanings and are not reproduced here.
- Event Notification Attributes Group The attributes group in a request that contains Event Notification
- 143 Attributes in a request or response.

Parra, Hastings Expires: February 29, 2001 [page 5]

## 3 Model and Operation

- See [ipp-ntfy] for the description of the Event Notification Model and Operation. This Delivery Method takes
- advantage of combining several Event Notifications into a single Compound Event Notification that is delivery by a
- single Send-Notification operation to a single Notification Recipient.
- When creating each Subscription object, the client supplies the "notify-recipient" (uri) Subscription Template
- attribute. The "notify-recipient" attribute specifies both a single Notification Recipient that is to receive the
- Notifications when subsequent events occur and the method for notification delivery that the IPP Printer is to use.
- For the Notification Delivery Method defined in this document, the notification method is 'indp' and the rest of the
- URI is the address of the Notification Recipient to which the IPP Printer will send the Send-Notifications
- operation.

144

- The 'indp' Notification Delivery Method defined in this document uses a client/server protocol paradigm. The
- "client" in this relationship is the Printer described in [ipp-ntfy] while the "server" is the Notification Recipient. The
- Printer invokes the Send-Notifications operation to communicate IPP Event Notification contents to the
- Notification Recipient. The Notification Recipient only conveys information to the Printer in the form of responses
- to the operations initiated by the Printer.
- Printers that implement the 'indp' Notification Delivery Method will need to include an HTTP client stack while
- Notification Recipients that implement this Delivery Method will need to support an HTTP server stack. See
- section 10.2 for more details.
- 162 If the client wants the Printer to send Event Notifications via the 'indp' Delivery Method, the client MUST choose
- a value for "notify-recipient-uri" attribute which conforms to the rules of section 5.2.1.
- 164 When an Event occurs, the Printer MUST immediately:
- 165 1. Find all pertinent Subscription Objects P according to the rules of section 9 of [ipp-ntfy], AND
- 2. Find the subset M of these Subscription Objects P whose "notify-recipient-uri" attribute has a scheme value of 'indp', AND
- 168 3. For each Subscription Object in M, the Printer MUST
- a) generate a Send-Notifications request as specified in section 8.1.1 AND
- b) send the Send-Notifications request to the Notification Recipient specified by the address part of the "notify-recipient-uri" attribute value (see section 5.2.1).
- 172 If several events occur sufficiently close to one another for the same or different Subscription objects, but with the
- same Notification Recipient, the Printer MAY combine them into a single Send-Notifications request using a
- separate Event Notification Attributes group for each event (see section 8.1.1).

Parra, Hastings Expires: February 29, 2001 [page 6]

# **4 General Information**

176 If a Printer supports this Delivery Method, Table 1 lists its characteristics.

## **Table 1 - Information about the Delivery Method**

Document Method conformance requirement	'indp' realization
1. What is the URL scheme name for the Delivery Method?	indp
2. Is the Delivery Method is REQUIRED, RECOMMENDED, or OPTIONAL for an IPP Printer to support?	RECOMMENDED
3. What transport and delivery protocol does the Printer use to deliver the Event Notification content, i.e., what is the entire network stack?	A Printer MUST support a complete HTTP/1.1 stack [rfc2616]
4. Can several Event Notifications be combined into a Compound Event Notification?	A Printer implementation MAY combine several Event Notifications into a single Event Notifications request as separate Event Notification Attributes Groups, see section 8.1.1
5. Is the Delivery Method initiated by the Notification Recipient (pull), or by the Printer (push)?	This Delivery Method is a push.
6. Is the Event Notification content Machine Consumable or Human Consumable?	Machine Consumable with the "notify-text" attribute being Human Consumable
7. What section in this document answers the following question? For a Machine Consumable Event Notification, what is the representation and encoding of values defined in section 9.1 of [ipp-ntfy] and the conformance requirements thereof? For a Human Consumable Event Notification, what is the representation and encoding of pieces of information defined in section 9.2 of [ipp-ntfy] and the conformance requirements thereof?	The representation and encoding is the same as IPP. See section 8.1.1
8. What are the latency and reliability of the transport and delivery protocol?	Same as for IPP/1.0 or IPP/1.1 itself (see [ipp-mod]).

Parra, Hastings Expires: February 29, 2001 [page 7]

Document Method conformance requirement	'indp' realization
9. What are the security aspects of the transport and delivery protocol, e.g., how it is handled in firewalls?	See section 14
10. What are the content length restrictions?	They are the same as for IPP/1.0 and IPP/1.1 itself (see [ipp-mod]).
11. What are the additional values or pieces of information that a Printer sends in an Event Notification and the conformance requirements thereof?	A new Event Notifications attribute group (see section 10.1) and additional status codes for use in the response (see section 9)
12. What are the additional Subscription Template and/or Subscription Description attributes and the conformance requirements thereof?	None
13. What are the additional Printer Description attributes and the conformance requirements thereof?	None

The remaining sections of this document parallel the sections of [ipp-ntfy].

# 5 Subscription object attributes

180 This section defines the Subscription object conformance requirements for Printers.

## 181 5.1 Subscription Template Attribute Conformance

- The 'indp' Delivery Method has the same conformance requirements for Subscription Template attributes as
- defined in [ipp-ntfy]. The 'indp' Delivery Method does not define any addition Subscription Template attributes.

### 5.2 Additional Information about Subscription Template Attributes

This section defines additional information about Subscription Template attributes defined in [ipp-ntfy].

### 186 5.2.1 notify-recipient-uri (uri)

- This section describes the syntax of the value of this attribute for the 'indp' Delivery Method. The syntax for values
- of this attribute for other Delivery Method is defined in other Delivery Method Documents.

August 29, 2000

- In order to support the 'indp' Delivery Method and Protocol, the Printer MUST support the following syntax:
- The 'indp://' URI scheme. The remainder of the URI indicates the host and address of the Notification
- Recipient that is to receive the Send-Notification operation.

## 192 5.3 Subscription Description Attribute Conformance

- 193 The 'indp' Delivery Method has the same conformance requirements for Subscription Description attributes as
- defined in [ipp-ntfy]. The 'indp' Delivery Method does not define any addition Subscription Description attributes.

## 195 6 Printer Description Attributes

INTERNET-DRAFT

196 This section defines the Printer Description Attributes conformance requirements for Printers.

## 6.1 Printer Description Attribute Conformance

- 198 The 'indp' Delivery Method has the same conformance requirements for Printer Description attributes as defined in
- 199 [ipp-ntfy]. The 'indp' Delivery Method does not define any addition Printer Description attributes.

## 200 6.2 New Values for Existing Printer Description Attributes

This section defines additional values for existing Printer Description attributes.

#### 202 **6.2.1** notify-schemes-supported (1setOf uriScheme)

- The following "notify-schemes-supported" value is added in order to support the new Delivery Method defined in
- 204 this document:

197

indp': - The IPP Notification Delivery Method defined in this document.

#### 206 6.2.2 operations-supported (1setOf type2 enum)

- Table 2 lists the "operation-id" value added in order to support the new operation defined in this document. The
- operation-id is assigned in the same name space as other operations that a Printer supports. However, a Printer
- 209 MUST NOT include this value in its "operations-supported" attribute unless it can accept the Send-Notifications
- 210 request.

Parra, Hastings Expires: February 29, 2001 [page 9]

### **Table 2 – Operation-id assignments**

Value	Operation Name
0x001D	Send-Notifications

212

213

215

219

## 7 Attributes Only in Event Notifications

No additional attributes are defined only for use in Event Notifications besides those defined in [ipp-ntfy].

## 8 Operations for Notification

- This section defines the operation for Event Notification using the 'indp' Delivery Method.
- There is only one operation defined: Send-Notifications. Section 6.2.2 assigns of the "operation-id" for the Send-
- Notifications operation and the following section defined the operation.

## 8.1 Send-Notifications operation

- This REQUIRED operation allows a Printer to send one or more Event Notifications to a Notification Recipient
- using HTTP.
- The Printer composes the information defined for an IPP Notification [ipp-ntfy] and sends it using the Sent-
- Notifications operation to the Notification Recipient supplied in the Subscription object.
- The Send-Notifications operations uses the operations model defined by IPP [rfc2566]. This includes, the use of a
- URI as the identifier for the target of each operation, the inclusion of a version number, operation-id, and request-id
- in each request, and the definition of attribute groups. The Send-Notifications operation uses the Operation
- 227 Attributes group, but currently has no need for the Unsupported Attributes, Printer Object Attributes, and Job-
- Object Attributes groups. However, it uses a new attribute group, the Event Notification Attributes group.

229

- The Notification Recipient MUST accept the request in any state. There is no state defined for the Notification
- 231 Recipient for this Delivery Method.
- 232 Access Rights: Notification Recipient MAY enforce access rights. If the Printer receives a rejection with these
- status codes: 'client-error-forbidden', 'client-error-not-authenticated', or 'client-error-not-authorized' status code,
- the Printer SHOULD cancel the subscription.

Parra, Hastings Expires: February 29, 2001 [page 10]

242

243244245246

247

248249

250

251

252

253

254

255

256257

258

259260

261

262

263

264

265266267

268269270

271272273

### 8.1.1 Send-Notifications Request

- Every operation request MUST contains the following parameters (see [ipp-mod] section 3.1.1):
- a "version-number" '1.0' the version of the 'indp' protocol is '1.0'.
- an "operation-id" the value defined in Table 2
- a "request-id" the request id (see [ipp-mod] section 3.1.2).
- 240 The following groups of attributes MUST be part of the Send-Notifications Request:
- 241 Group 1: Operation Attributes
  - Natural Language and Character Set:

The "attributes-charset" and "attributes-natural-language" attributes as defined in [ipp-mod] section 3.1.4.1.

The Printer MUST use the values of "notify-charset" and "notify-natural-language", respectively, from one Subscription Object associated with the Event Notifications in this request.

Normally, there is only one matched Subscription Object, or the value of the "notify-charset" and "notify-natural-language" attributes is the same in all Subscription Objects. If not, the Printer MUST pick one Subscription Object from which to obtain the value of these attributes. The algorithm for picking the Subscription Object is implementation dependent. The choice of natural language is not critical because 'text' and 'name' values can override the "attributes-natural-language" Operation attribute. The Printer's choice of charset is critical because a bad choice may leave it unable to send some 'text' and 'name' values accurately.

Target:

A copy of the Subscription object's "notification-recipient-uri" (uri) attribute which is the target of this operation as described in [ipp-mod] section 3.1.5, i.e., the URI of the 'indp' Notification Recipient (see section 5.2.1).

#### Group 2 to N: Event Notification Attributes

In each group 2 to N, each attribute is encoded using the IPP rules for encoding attributes [ipp-pro] and may be encoded in any order. Note: the Get-Jobs response in [ipp-mod] acts as a model for encoding multiple groups of attributes.

Each Event Notification Group MUST contain all of attributes specified in [ipp-ntfy] section 9.1 ("Content of Machine Consumable Event Notifications") with exceptions denoted by asterisks in the tables below.

The tables below are copies of the tables in [ipp-ntfy] section 9.1 ("Content of Machine Consumable Event Notifications") except that each cell in the "Sends" column is a "MUST".

For an Event Notification for all Events, the Printer sends the following attributes.

Parra, Hastings Expires: February 29, 2001 [page 11]

#### **Table 3 – Attributes in Event Notification Content**

Source Value	Sends	Source Object
notify-subscription-id (integer(1:MAX))	MUST	Subscription
notify-printer-uri (uri)	MUST	Subscription
notify-subscribed-event (type2 keyword)	MUST	Event Notification
printer-up-time (integer(MIN:MAX))	MUST	Printer
printer-current-time (dateTime) *	MUST	Printer
notify-sequence-number (integer (0:MAX))	MUST	Subscription
notify-charset (charset)	MUST	Subscription
notify-natural-language (naturalLanguage)	MUST	Subscription
notify-user-data (octetString(63)) **	MUST	Subscription
notify-text (text (MAX))	MUST	Event Notification
attributes from the "notify-attributes" attribute, if any ***	MUST ***	Printer
attributes from the "notify-attributes" attribute, if any ***	MUST ***	Job
attributes from the "notify-attributes" attribute, if any ***	MUST ***	Subscription

275276

278279280

277

\*\* If the associated Subscription Object does not contain a "notify-user-data" attribute, the Printer MUST send an octet-string of length 0.

281 282 283

284

285

\*\*\* If the "notify-attributes" attribute is present on the Subscription Object, the Printer MUST send all attributes specified by the "notify-attributes" attribute. Note: if the Printer doesn't support the "notify-attributes" attribute, it is not present on the associated Subscription Object and the Printer does not send any client-requested attributes.

286287288

289

For Event Notifications for Job Events, the Printer sends the following additional attributes shown in Table 4.

Parra, Hastings

Expires: February 29, 2001

<sup>\*</sup> The Printer MUST send "printer-current-time" if and only if it supports the "printer-current-time" attribute on the Printer object.

**Table 4 – Additional Attributes in Event Notification Content for Job Events** 

Source Value	Sends	Source Object
job-id (integer(1:MAX))	MUST	Job
job-state (type1 enum)	MUST	Job
job-state-reasons (1setOf type2 keyword)	MUST	Job
job-impressions-completed (integer(0:MAX)) *	MUST	Job

291292

293294

295

Table 5 – Combinations of Events and Subscribed Events for "job-impressions-completed"

Job Event	Subscribed Job Event
'job-progress'	'job-progress'
'job-completed'	'job-completed'
'job-completed'	'job-state-changed'

296 297

For Event Notification for Printer Events, the Printer sends the following additional attributes shown in Table 6.

299

298

Table 6 – Additional Attributes in Event Notification Content for Printer Events

Source Value	Sends	Source Object
printer-state (type1 enum)	MUST	Printer
printer-state-reasons (1setOf type2 keyword)	MUST	Printer
printer-is-accepting-jobs (boolean)	MUST	Printer

300

301

## 8.1.2 Send-Notifications Response

The Notification Recipient MUST return (to the client which is the Printer) the following sets of attributes as part of a Send-Notifications response:

Parra, Hastings Expires: February 29, 2001 [page 13]

<sup>\*</sup> The Printer MUST send the "job-impressions-completed" attribute in an Event Notification only for the combinations of Events and Subscribed Events shown in Table 5.

IPP: The 'indp' Notification Delivery Method and Protocol

August 29, 2000

INTERNET-DRAFT

333

304 Every operation response contains the following REQUIRED parameters (see [ipp-mod] section 3.1.1): 305 a "version-number" 306 a "status-code" 307 the "request-id" that was supplied in the corresponding request 308 309 Group 1: Operation Attributes 310 Status Message: 311 As defined in [ipp-mod]. 312 313 The Notification Recipient can return any status codes defined in [ipp-mod] and section 9.1 that applies to 314 all of the Event Notification Attribute groups. The following is a description of the important status codes: 315 316 'successful-ok': the Notification Recipient received all of the Event Notification Attribute Groups and 317 was expecting each of them. 318 'successful-ok-ignored-notifications': the Notification Recipient was able to consume some, but not 319 all of the Event Notification Attributes Groups sent. The Event Notification Attributes Groups with 320 a "notify-status-code" attribute are the ones that were ignored or are to be canceled. 321 'client-error-ignored-all-notifications': the Notification Recipient was unable to consume any of the 322 Event Notification Attributes Groups sent. The Event Notification Attributes Groups with a "notify-323 status-code" attribute are the ones that were ignored or are to be canceled. 324 325 Natural Language and Character Set: 326 The "attributes-charset" and "attributes-natural-language" attributes as defined in [ipp-mod] section 3.1.4.1. 327 328 Group 2 to N: Notification Attributes 329 These groups MUST be returned if and only if the "status-code" parameter returned in Group 1 is anything but 330 the 'successful-ok' status code. 331 "notification-status-code" (type2 enum) 332 Indicates whether the Notification Recipient was able to consume the n-th Notification Report as follows:

Parra, Hastings Expires: February 29, 2001 [page 14]

August 29, 2000

	·
334	'successful-ok' - this Event Notification Attribute Group was consumed
335	'client-error-not-found' - this Event Notification Attribute Group was not able to be consumed. The
336	Printer MUST cancel the Subscription and MUST NOT attempt to send any further Event
337	Notifications from the associated Subscription object.
338	'successful-ok-but-cancel-subscription' - the Event Notification Attribute Group was consumed, but the
339	Notification Recipient wishes to cancel the Subscription object. The Printer MUST cancel the
340	Subscription and MUST NOT attempt to send any further Event Notifications from the associated
341	Subscription object.
342	9 Status Codes
343	This section lists status codes whose meaning have been extended and/or defined for returning in Event Notification
344	Attribute Groups as the value of the "notification-status-code" operation attribute. The code values are allocated in
345	the same space as the status codes in [ipp-mod].

#### 9.1 Additional Status Codes

- 347 The following status codes are defined as extensions for Notification and are returned as the value of the "status-
- 348 code" parameter in the Operation Attributes Group of a response (see [ipp-mod] section 3.1.6.1). Operations in
- 349 this document can also return the status codes defined in section 13 of [ipp-mod]. The 'successful-ok' status code
- is an example of such a status code.

INTERNET-DRAFT

## 351 9.1.1 successful-ok-ignored-notifications (0x0004)

- The Notification Recipient was able to consume some, but not all, of the Event Notifications Attributes Groups sent
- by the Printer in the Send-Notifications request. See section 8.1.2 for further details.

## 9.2 Status Codes returned in Event Notification Attributes Groups

- 355 This section contains values of the "notify-status-code" attribute that the Notification Recipient returns in a Event
- Notification Attributes Group in a response when the corresponding Event Notification Attributes Group in the
- 357 request:

354

346

- 358 4. was not consumed OR
- 5. was consumed, but the Notification Recipient wants to cancel the corresponding Subscription object
- The following sections are ordered in decreasing order of importance of the status-codes.

## 361 **9.2.1 client-error-not-found (0x0406)**

- This status code is defined in [ipp-mod]. This document extends its meaning and allows it to be returned in an
- 363 Event Notification Attributes Group of a response.

Parra, Hastings Expires: February 29, 2001 [page 15]

INTERNET-DRAFT IPP: The 'indp' Notification Delivery Method and Protocol August 29, 2000 364 The Notification Recipient was unable to consume this Event Notification Attributes Group because it was not expected. See section 8.1.2 for further details. 365 366 9.2.2 successful-ok-but-cancel-subscription (0x0006) 367 The Notification Recipient was able to consume this Event Notification Attributes Group that the Printer sent, but 368 wants the corresponding Subscription object to be canceled none-the-less. See section 8.1.2 for further details. 10 Encoding and Transport 369 370 This section defines the encoding and transport used by the 'indp' Delivery Method. 371 10.1 Encoding of the Operation Layer 372 The 'indp' Delivery Method uses the IPP operation layer encoding described in [ipp-pro] and the following Event Notification Attributes Group tag allocated by [ipp-ntfy]: 373 Tag Value (Hex) Meaning 0x07"event-notification-attributes-tag" 374 375 10.2 Encoding of Transport Layer 376 The 'indp' Notification Delivery Method uses the IPP transport layer encoding described in [ipp-pro]. 377 It is REQUIRED that an 'indp' Notification Recipient implementation support HTTP over the IANA assigned Well 378 Known Port assigned to the 'indp' Delivery Method as its default port by IANA (see section 12), though a 379 Notification Recipient implementation MAY support HTTP over some other port as well. 11 Conformance Requirements 380 381 This section defines conformance requirements for Printers and Notification Recipients. 382 11.1 Printer Conformance Requirements 383 The 'indp' Delivery Method is RECOMMENDED for a Printer to support. 384 If the Printer supports the 'indp' Delivery Method, the Printer MUST:

1. meet the conformance requirements defined in [ipp-ntfy].

- support the conformance requirements for Subscription object attributes defined in section 5, including the syntax for the "notify-recipient-uri" Subscription Object attribute defined in section 5.2.1.
- 388 3. support the conformance requirements for Printer Description object attributes defined in section 6.
- 4. support the 'indp' protocol by sending Event Notifications using the Send-Notifications operation defined in section 8.1.
- 5. support sending Event Notification via email with the content specified in section 8.1.1.

### 392 11.2 Notification Recipient Requirements

- 393 A Notification Recipient MUST accept Send-Notifications requests and return Send-Notifications responses as
- defined in sections 8 and 9.

398

403

412

## 395 12 IANA Considerations

- 396 The 'indp' URL scheme for the 'indp' Delivery Method and Protocol will be registered with IANA. IANA will
- assign a default port to use with the 'indp' Delivery Method and Protocol.

### 13 Internationalization Considerations

- When the client requests Human Consumable form by supplying the "notify-text-format" operation attribute (see
- 400 [ipp-ntfy]), the IPP Printer (or any Notification Service that the IPP Printer might be configured to use) supplies
- and localizes the text value of the "human-readable-report" attribute in the Notification according to the charset and
- atural language requested in the notification subscription.

# 14 Security Considerations

- The IPP Model and Semantics document [ipp-mod] discusses high level security requirements (Client
- 405 Authentication, Server Authentication and Operation Privacy). Client Authentication is the mechanism by which the
- client proves its identity to the server in a secure manner. Server Authentication is the mechanism by which the
- server proves its identity to the client in a secure manner. Operation Privacy is defined as a mechanism for
- 408 protecting operations from eavesdropping.
- The Notification Recipient can cancel unwanted Subscriptions created by other parties without having to be the
- owner of the subscription by returning the 'successful-ok-but-cancel-subscription' status code in the Send-
- Notifications response returned to the Printer.

#### 14.1 Security Conformance

- Printers (client) MAY support Digest Authentication [rfc2617]. If Digest Authentication is supported, then MD5
- and MD5-sess MUST be supported, but the Message Integrity feature NEED NOT be supported.

Parra, Hastings Expires: February 29, 2001 [page 17]

August 29, 2000

- Notification Recipient (server) MAY support Digest Authentication [rfc2617]. If Digest Authentication is
- supported, then MD5 and MD5-sess MUST be supported, but the Message Integrity feature NEED NOT be
- 417 supported.
- Notification Recipients MAY support TLS for client authentication, server authentication and operation privacy. If
- a Notification Recipient supports TLS, it MUST support the TLS\_DHE\_DSS\_WITH\_3DES\_EDE\_CBC\_SHA
- 420 cipher suite as mandated by RFC 2246 [rfc2246]. All other cipher suites are OPTIONAL. Notification recipients
- 421 MAY support Basic Authentication (described in HTTP/1.1 [rfc2616]) for client authentication if the channel is
- secure. TLS with the above mandated cipher suite can provide such a secure channel.

#### 15 References

INTERNET-DRAFT

425 [ipp-iig]

423 424

- Hastings, T., Manros, C., Kugler, K, Holst H., Zehler, P., "Internet Printing Protocol/1.1: draft-ietf-ipp-
- implementers-guide-v11-01.txt, work in progress, May 9, 2000
- 428 [ipp-mod]
- R. deBry, T. Hastings, R. Herriot, S. Isaacson, P. Powell, "Internet Printing Protocol/1.1: Model and
- 430 Semantics", <draft-ietf-ipp-model-v11-07.txt>, May 22, 2000.
- 431 [ipp-ntfy]
- Isaacson, S., Martin, J., deBry, R., Hastings, T., Shepherd, M., Bergman, R., "Internet Printing
- 433 Protocol/1.1: IPP Event Notification Specification", <draft-ietf-ipp-not-spec-04.txt>, August 30, 2000.
- 434 [ipp-pro]
- Herriot, R., Butler, S., Moore, P., Tuner, R., "Internet Printing Protocol/1.1: Encoding and Transport",
- draft-ietf-ipp-protocol-v11-06.txt, May 30, 2000.
- 437 [rfc2026]
- 438 S. Bradner, "The Internet Standards Process -- Revision 3", RFC 2026, October 1996.
- 439 [rfc2616]
- 440 R. Fielding, J. Gettys, J. Mogul, H. Frystyk, L. Masinter, P. Leach, T. Berners-Lee, "Hypertext Transfer
- 441 Protocol HTTP/1.1", RFC 2616, June 1999.
- 442 [rfc2617]
- J. Franks, P. Hallam-Baker, J. Hostetler, S. Lawrence, P. Leach, A. Luotonen, L. Stewart, "HTTP
- Authentication: Basic and Digest Access Authentication", RFC 2617, June 1999.

## 445 **16 Author's Addresses**

- Hugo Parra
- 447 Novell, Inc.
- 448 1800 South Novell Place

INTERNET-DRAFT IPP: The 'indp' Notification Delivery Method and Protocol August 29, 2000

449 Provo, UT 84606 450 Phone: 801-861-3307 451 452 Fax: 801-861-2517 e-mail: hparra@novell.com 453 454 455 Tom Hastings 456 Xerox Corporation 737 Hawaii St. ESAE 231 457 El Segundo, CA 90245 458 459 460 Phone: 310-333-6413 Fax: 310-333-5514 461 e-mail: hastings@cp10.es.xerox.com 462 463 17 Full Copyright Statement 464 465 Copyright (C) The Internet Society (2000). All Rights Reserved. 466 This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and distributed, in whole 467 468 or in part, without restriction of any kind, provided that the above copyright notice and this paragraph are included 469 on all such copies and derivative works. However, this document itself may not be modified in any way, such as 470 by removing the copyright notice or references to the Internet Society or other Internet organizations, except as 471 needed for the purpose of developing Internet standards in which case the procedures for copyrights defined in the

Internet Standards process must be followed, or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by the Internet Society or its

474 successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and THE INTERNET

- 476 SOCIETY AND THE INTERNET ENGINEERING TASK FORCE DISCLAIMS ALL WARRANTIES,
- 477 EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF
- 478 THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED
- WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

Parra, Hastings Expires: February 29, 2001 [page 19]